

**An Absolute Calibration of  
Sub-1 keV Nuclear Recoils in  
Liquid Xenon Using D-D  
Neutron Scattering Kinematics  
in the LUX Detector**

**James Verbus  
Brown University**

Dissertation Defense  
May 10th, 2016

\* Not just Sub-1 keV: calibration over energy range spanning 0.7-74 keV

# Thanks

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- Ian Dell'Antonio and Meenakshi Narain for acting as thesis committee members
- Brown Group: Rick Gaitskell, James Verbus, Samuel Chan, Dongqing Huang, Casey Rhyne, Will Taylor, and others
- Members of LUX and LZ for their ongoing collaboration



# Please note

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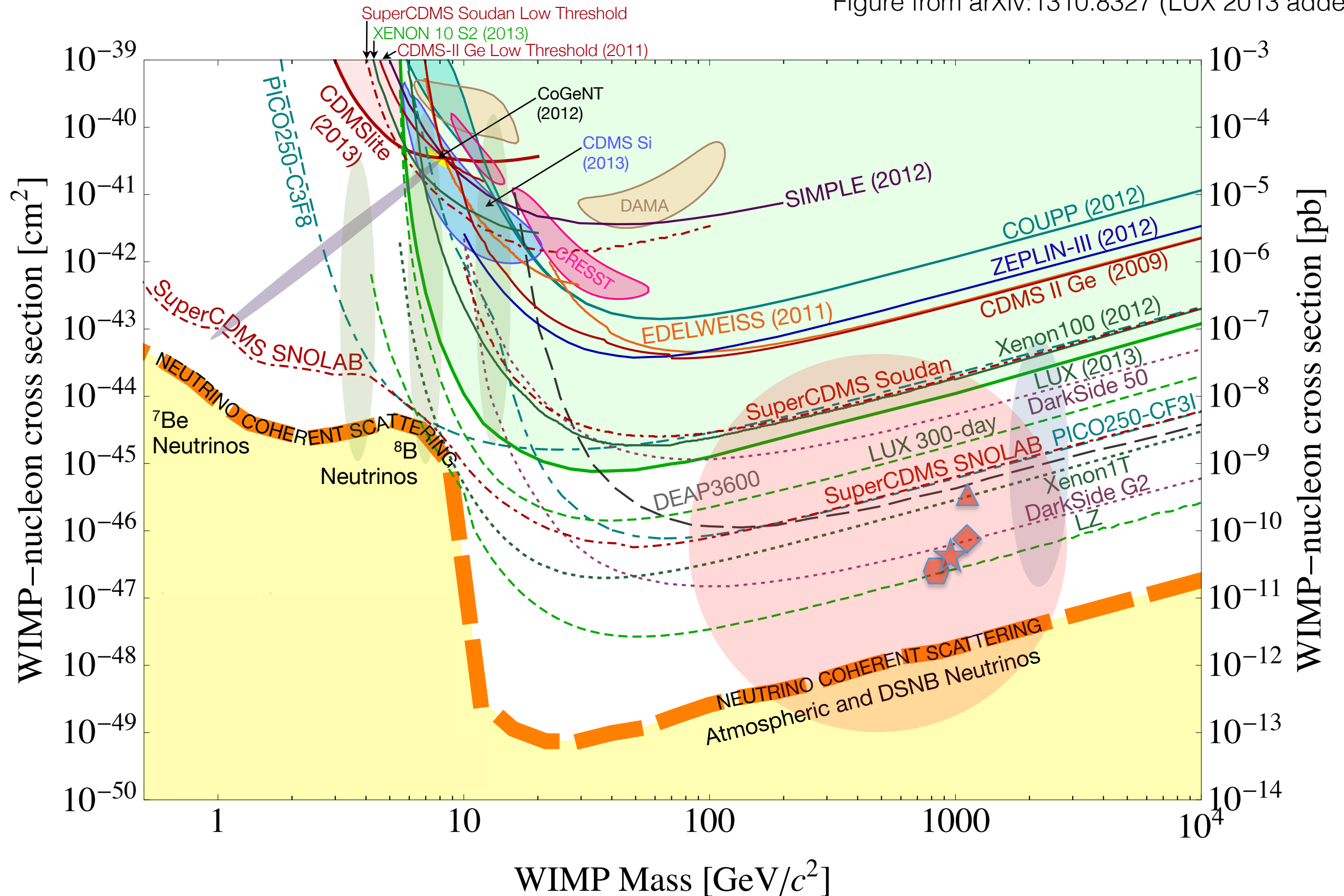
- Parts of this thesis are based directly on manuscripts in preparation for journal submission. I am the corresponding author of both articles.

[1] J. R. Verbus, C. Rhyne, D. C. Malling, M. Genecov, S. Ghosh, A. Moskowitz, S. Chan, J. J. Chapman, L. de Viveiros, C. H. Faham, S. Fiorucci, D. Q. Huang, M. Pangilinan, W. C. Taylor, and R. J. Gaitskell, “Proposed low energy absolute calibration of nuclear recoils in a dual-phase noble element TPC using D-D neutron scattering kinematics,” (2016), in preperation.

[2] D. S. Akerib *et al.*, “Low-energy (0.7–74 keV) nuclear recoil calibration of the LUX dark matter experiment using D-D neutron scattering kinematics,” (2016), in preperation.

# The WIMP direct detection result landscape as of late 2013

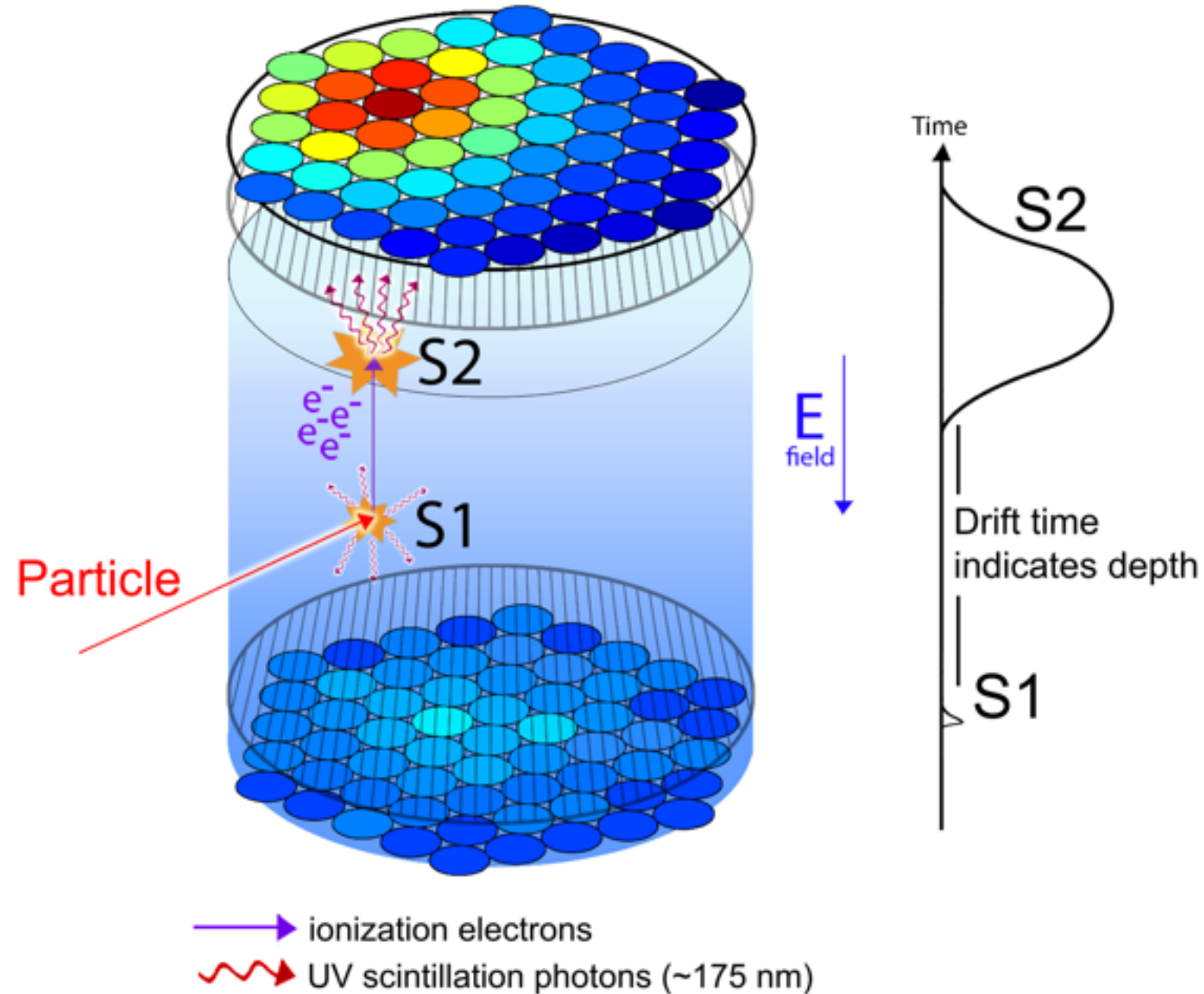
Figure from arXiv:1310.8327 (LUX 2013 added)



# The LUX Detector

# The LUX dark matter detector

- Virtues of a dark matter detector
  - Massive, radioactively quiet, discriminatory, low threshold
- What is LUX?
  - A particle detector
  - A monolithic wall-less fiducial region within 370 kg, two-phase Xe TPC
  - Viewed by 122 Photomultiplier Tubes
  - Able to reconstruct  $(x, y, z)$  for each event
  - Exceptional self-shielding from outer xenon layer
  - Discrimination between electronic and nuclear recoils (99.6%)
- How would LUX see dark matter?
  - It detects scintillation photons and ionized electrons created by particle interactions
  - If dark matter interacted with a xenon atom, energy transferred to that atom would be visible to LUX
  - $g_1=0.115$  and  $g_2 = 11.4$  are the amplification factors for each quanta during the D-D calibration period
  - $n_\gamma$  and  $n_e$  are the fundamental measured quantities



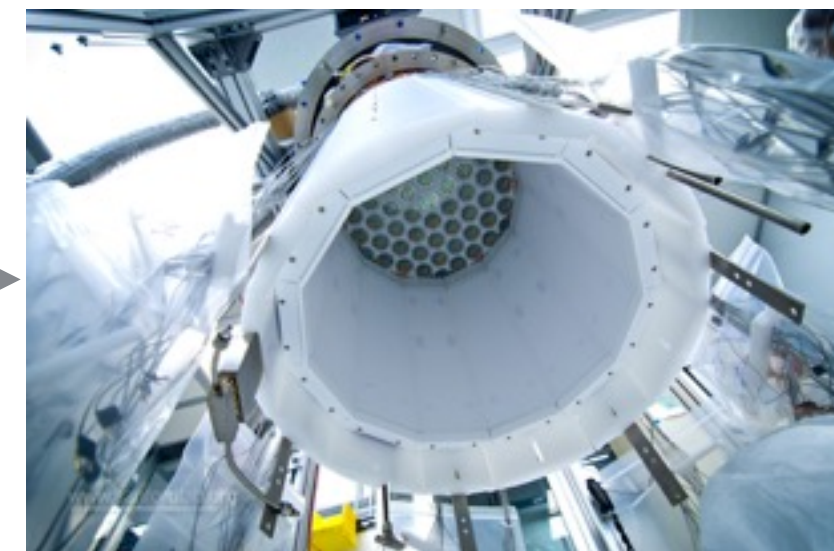


# LUX background reduction techniques



1492 m underground

- 4850 ft (1492 m) underground in the Black Hills of South Dakota
  - Reduces muon flux by  $\times 10^{-7}$
- Surrounded by a 8 m diameter water shield
  - External cavern neutron and gamma backgrounds are subdominant to detector components
- Central 145 kg fiducial volume used for WIMP search
  - Gamma rays from detector components reduced by  $\times 10^{-4}$  due to xenon self shielding
- **Final result:** Reduction of gamma and neutron background to expectation of  $< 1$  signal event in 300 days of search (after discrimination)





# LUX background reduction techniques

- 4850 ft (1492 m) underground in the Black Hills of South



$10^{-4}$  due  
to



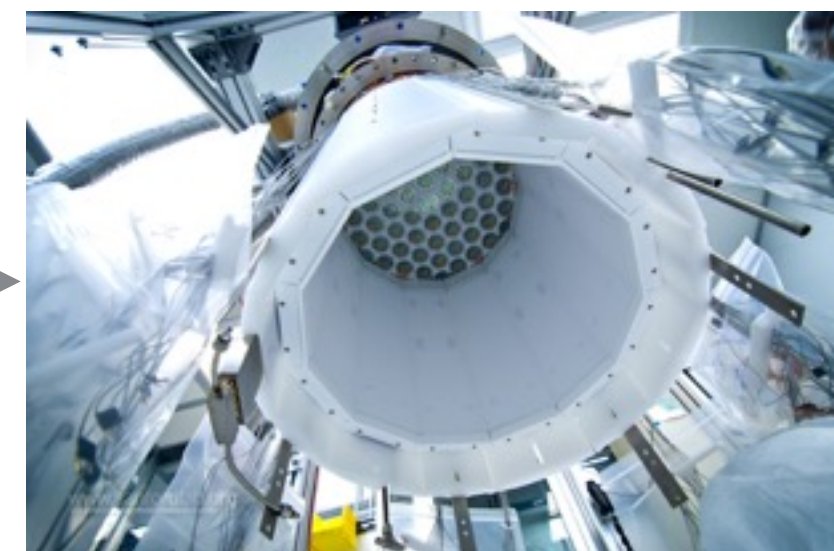


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# LUX background reduction techniques



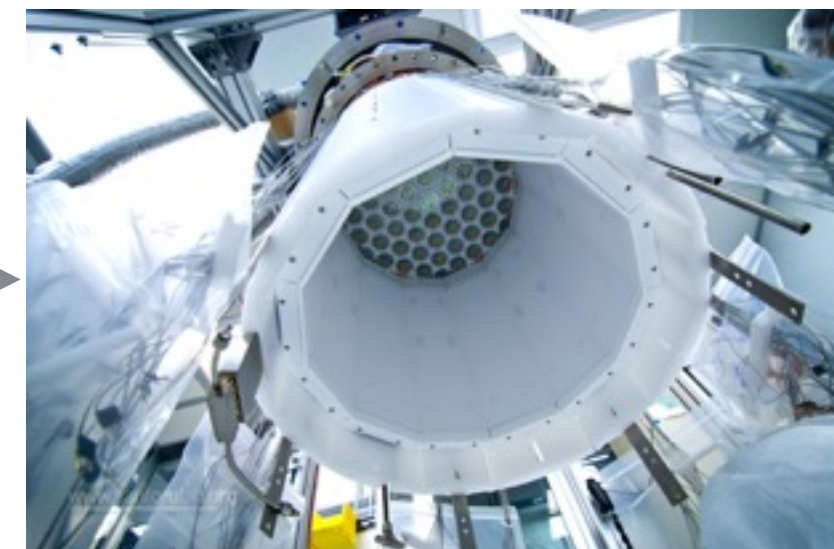


# LUX background reduction techniques



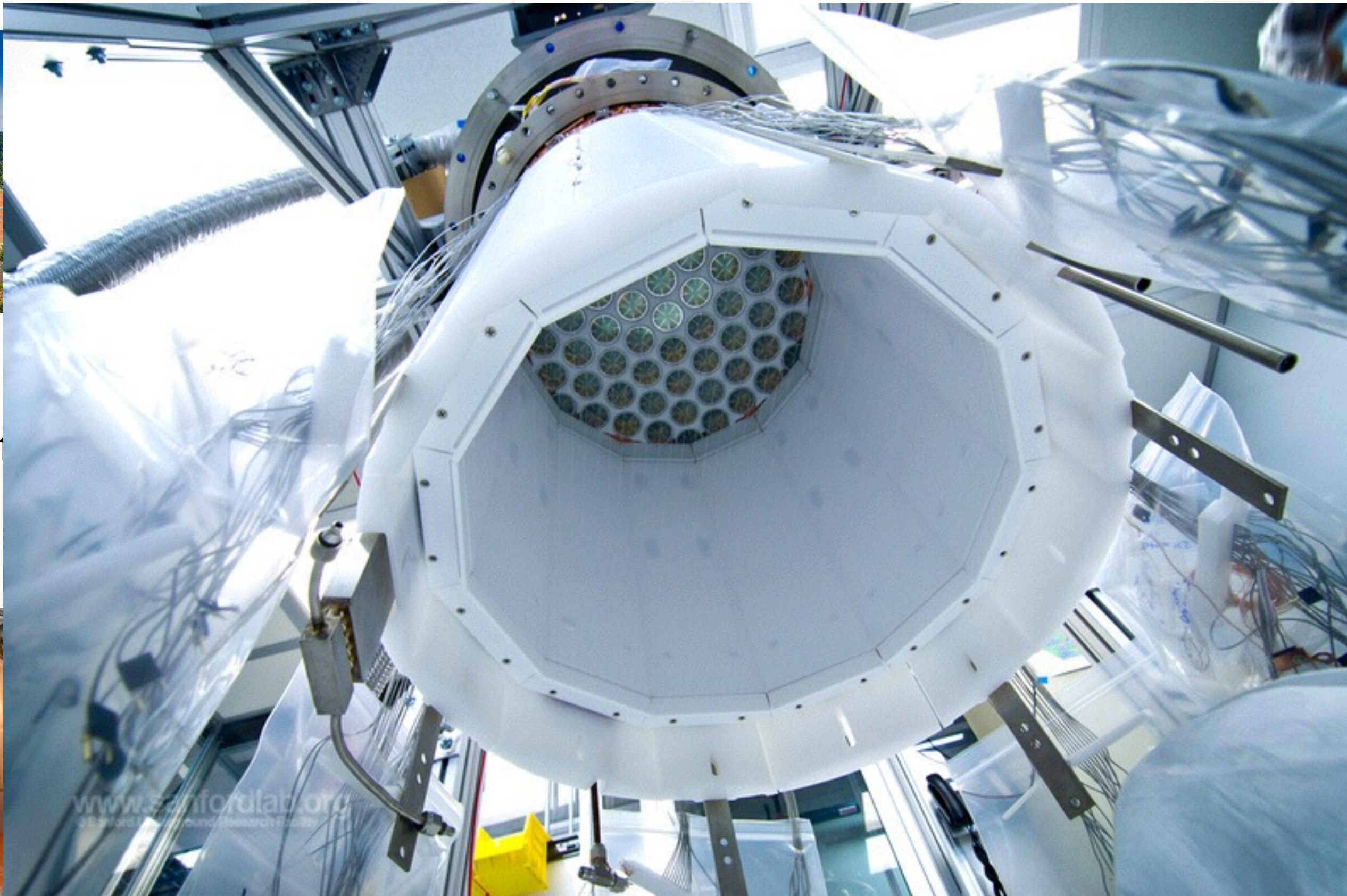
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# LUX background reduction techniques



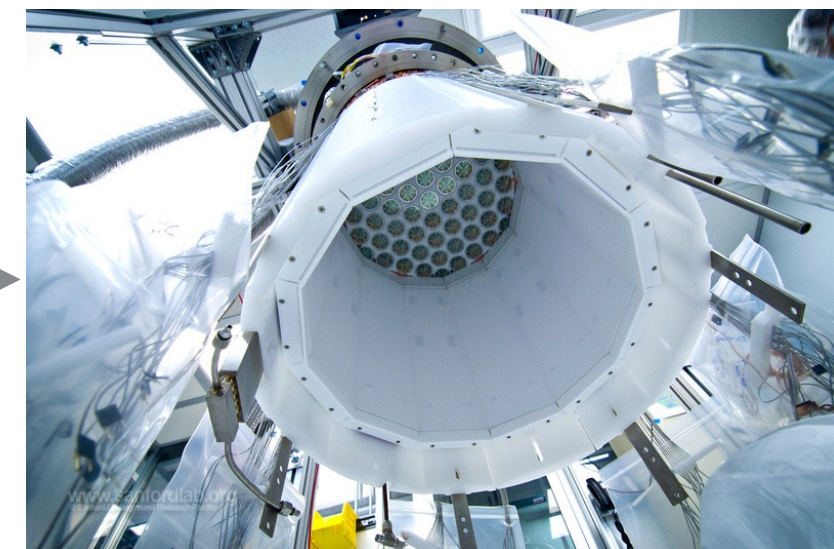


# LUX background reduction techniques



1492 m underground

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# The Need for Low Energy Nuclear Recoil Calibrations



# Defining detector response

## Scintillation (S1) response

### Signal carrier production:

- Light yield  $L_y(E_{nr})$  in units of photons / keVnr

### Signal carrier detection:

- $g1 = 0.115 \pm 0.004$  detected photons per scintillation photon at the interaction site

## Ionization (S2) response

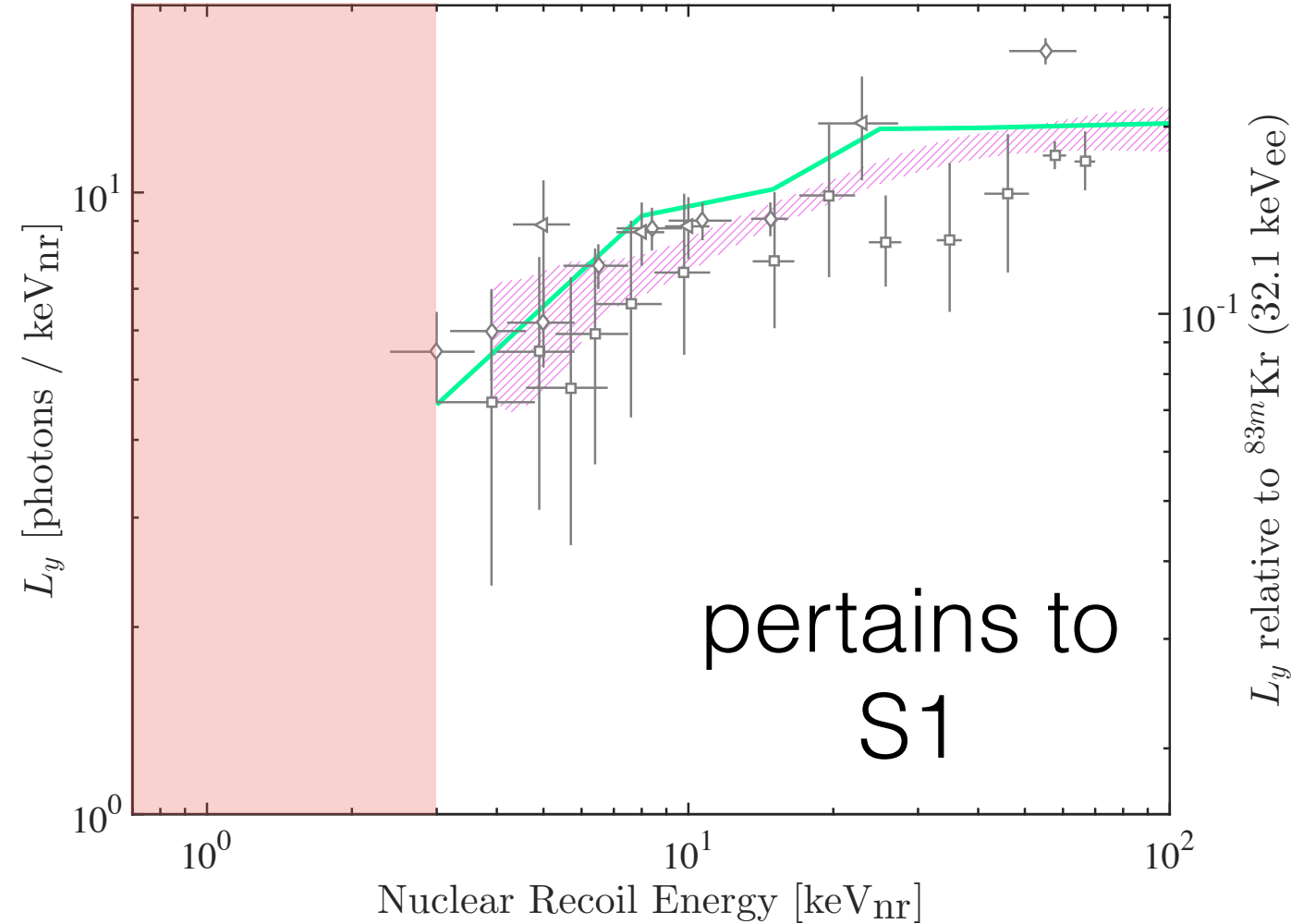
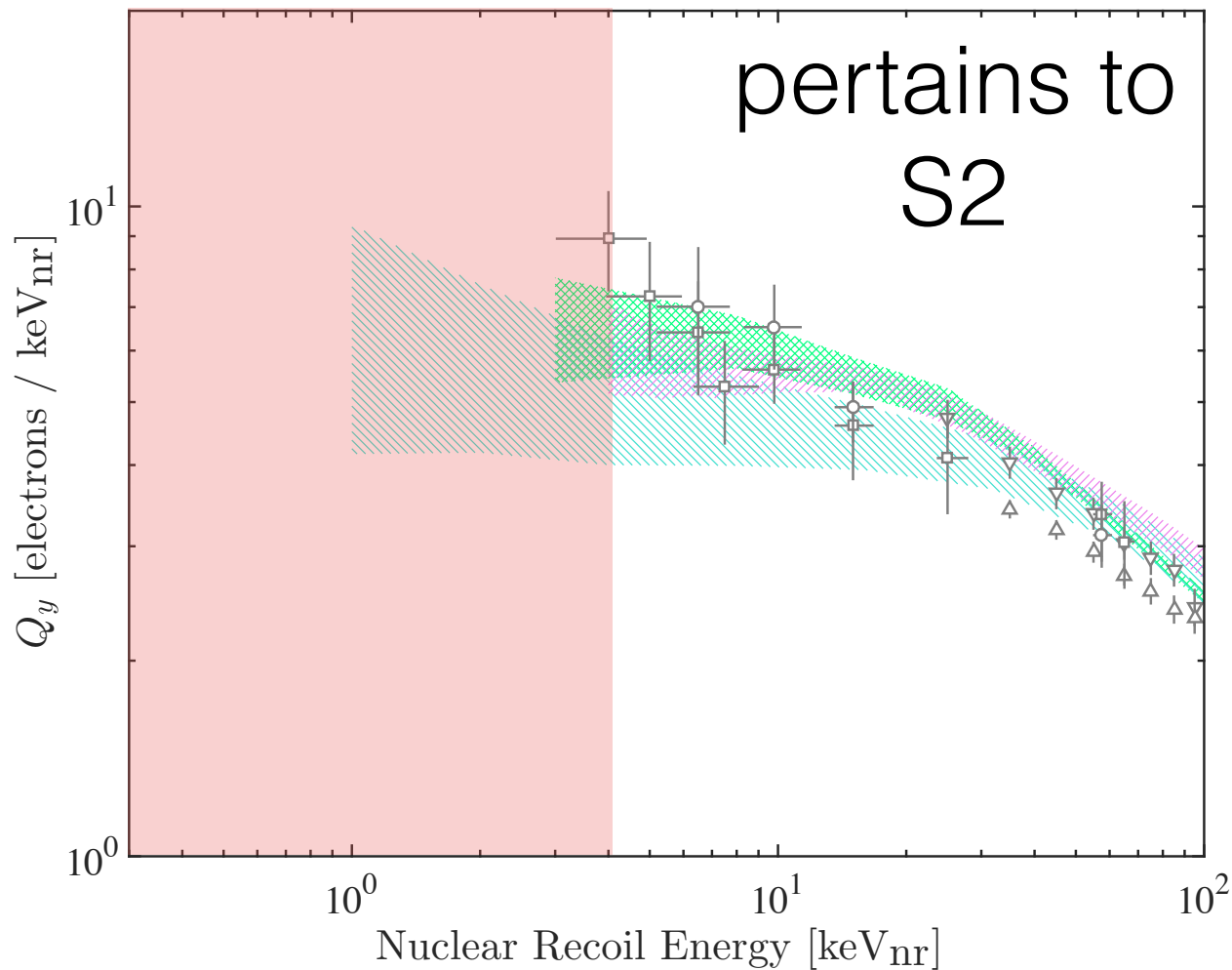
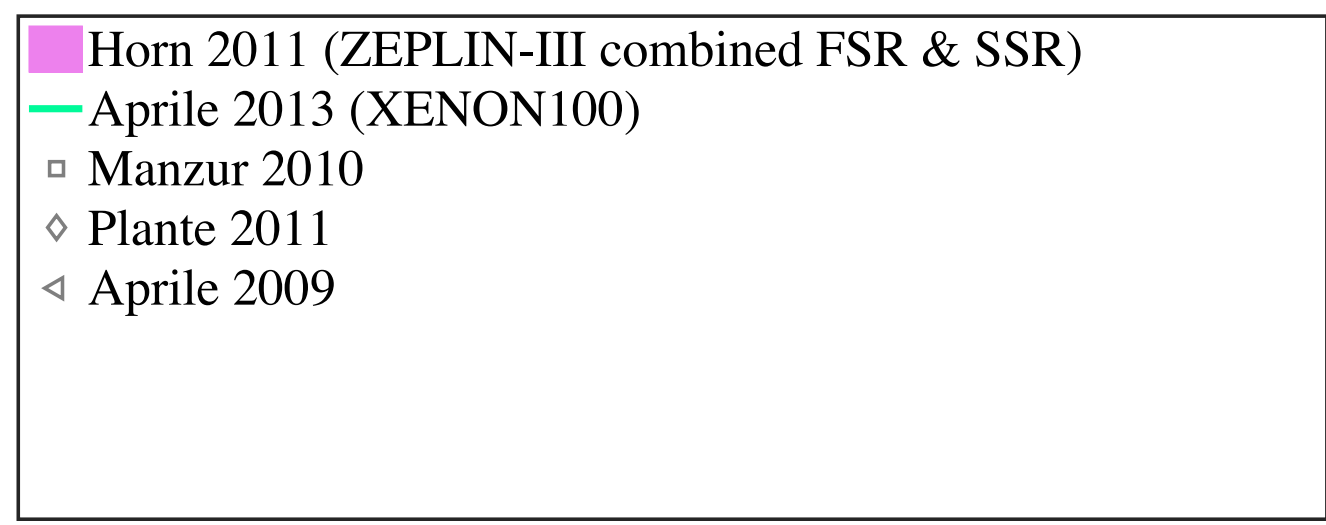
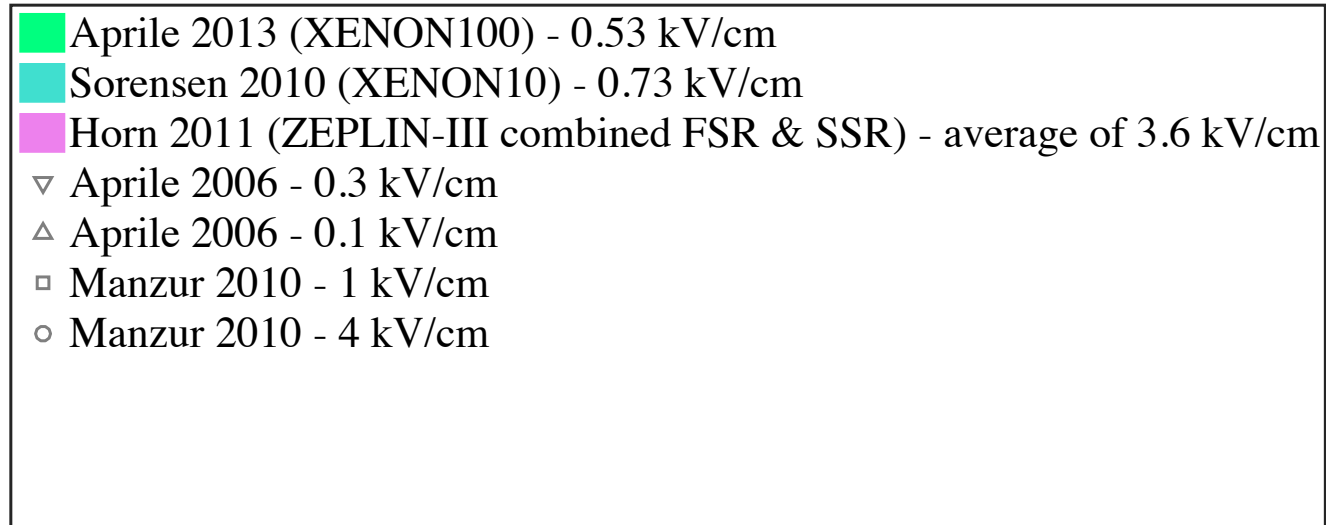
### Signal carrier production:

- Ionization yield  $Q_y(E_{nr})$  in units of electrons / keVnr

### Signal carrier detection:

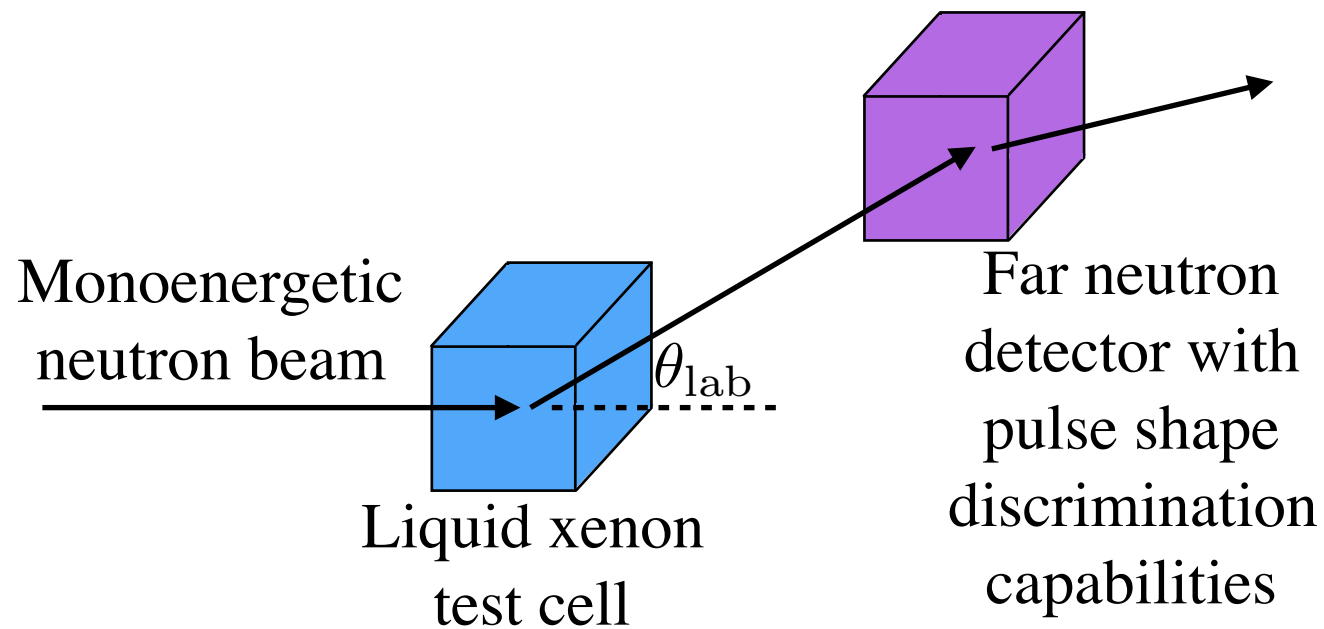
- $g2 = 11.4$  detected photons per ionization electron at the interaction site
- electron extraction efficiency is  $0.48 \pm 0.04$

# No fixed scattering angle measurements below 3 keV<sub>nr</sub>



## Neutron scattering kinematics in small test cell

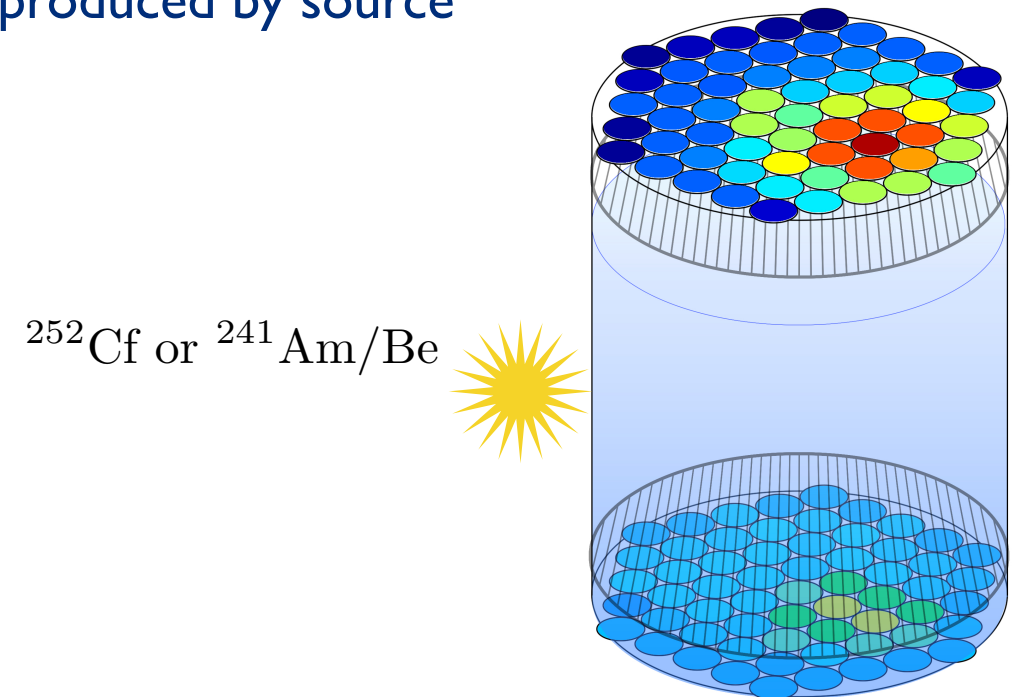
- Recoil energy defined by fixed scattering angle
- Susceptible to systematic effects due to neutron scatters in passive material
- Not *in situ* calibration of dark matter instrument



$$E_{\text{nr}} \approx E_n \frac{4m_n m_{\text{Xe}}}{(m_n + m_{\text{Xe}})^2} \frac{1 - \cos \theta}{2}$$

## Continuum neutron sources next to TPC

- *In situ* in the dark matter instrument
- Featureless, continuous neutron spectrum produced by  $^{252}\text{Cf}$  or  $^{241}\text{Am/Be}$ 
  - Can also use spectrum endpoint
- Energy scale is determined by simulation best fit to observed signal spectra
- Susceptible to systematic effects due to neutron scatters in passive material
- Contamination from high-energy gamma rays produced by source

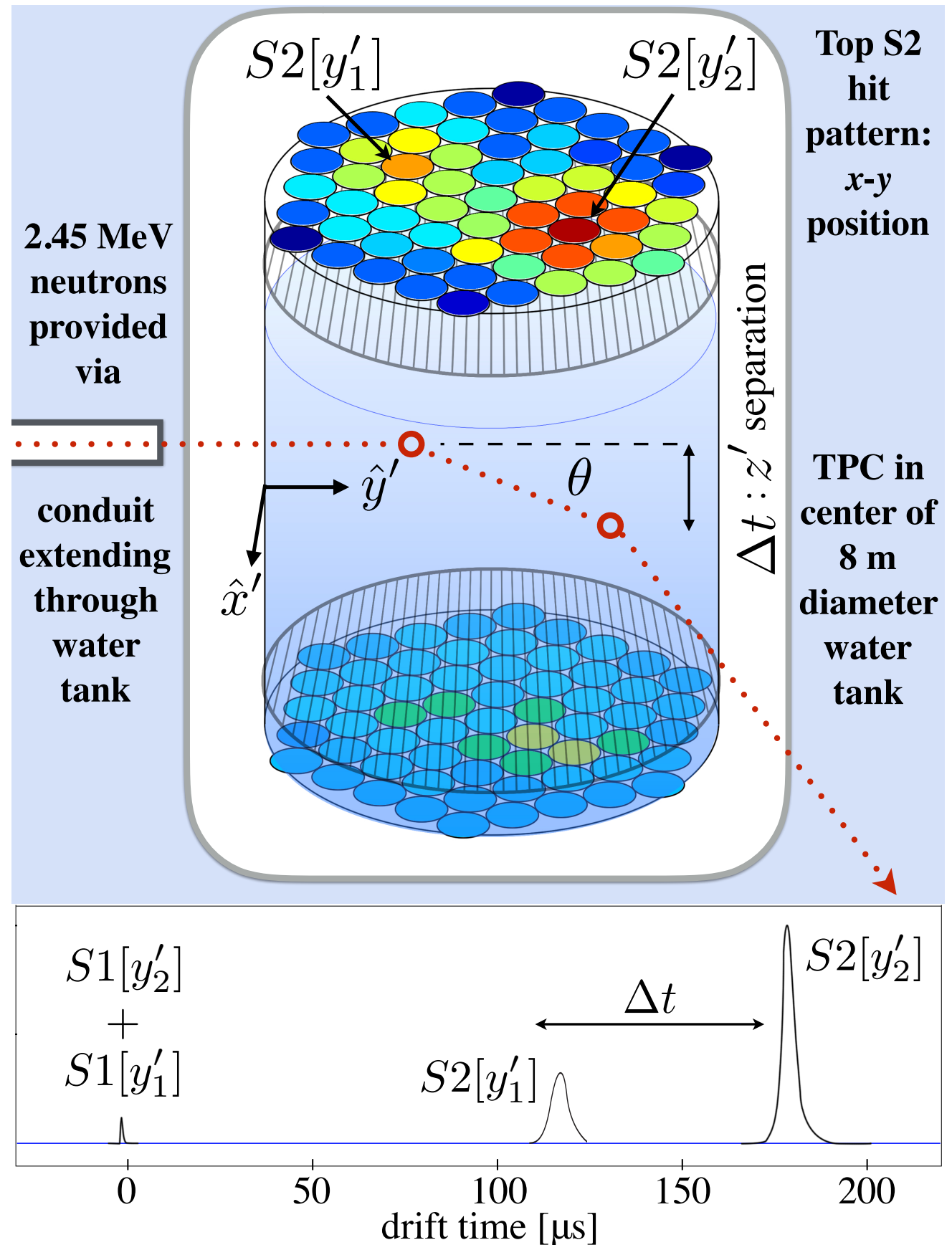


# A new *in situ* calibration technique using monoenergetic D-D neutron scattering kinematics

- Use a monoenergetic neutron source to introduce a beam of collimated neutrons into LUX
- Reconstruct multi-scatter interaction positions
- Measure energy from scattering angle

$$E_{nr} \approx E_n \frac{4m_n m_{Xe}}{(m_n + m_{Xe})^2} \frac{1 - \cos \theta}{2}$$

- Precise LUX calibration of g1 and g2 provides absolute measurement of quanta produced
- Significant reduction in systematics





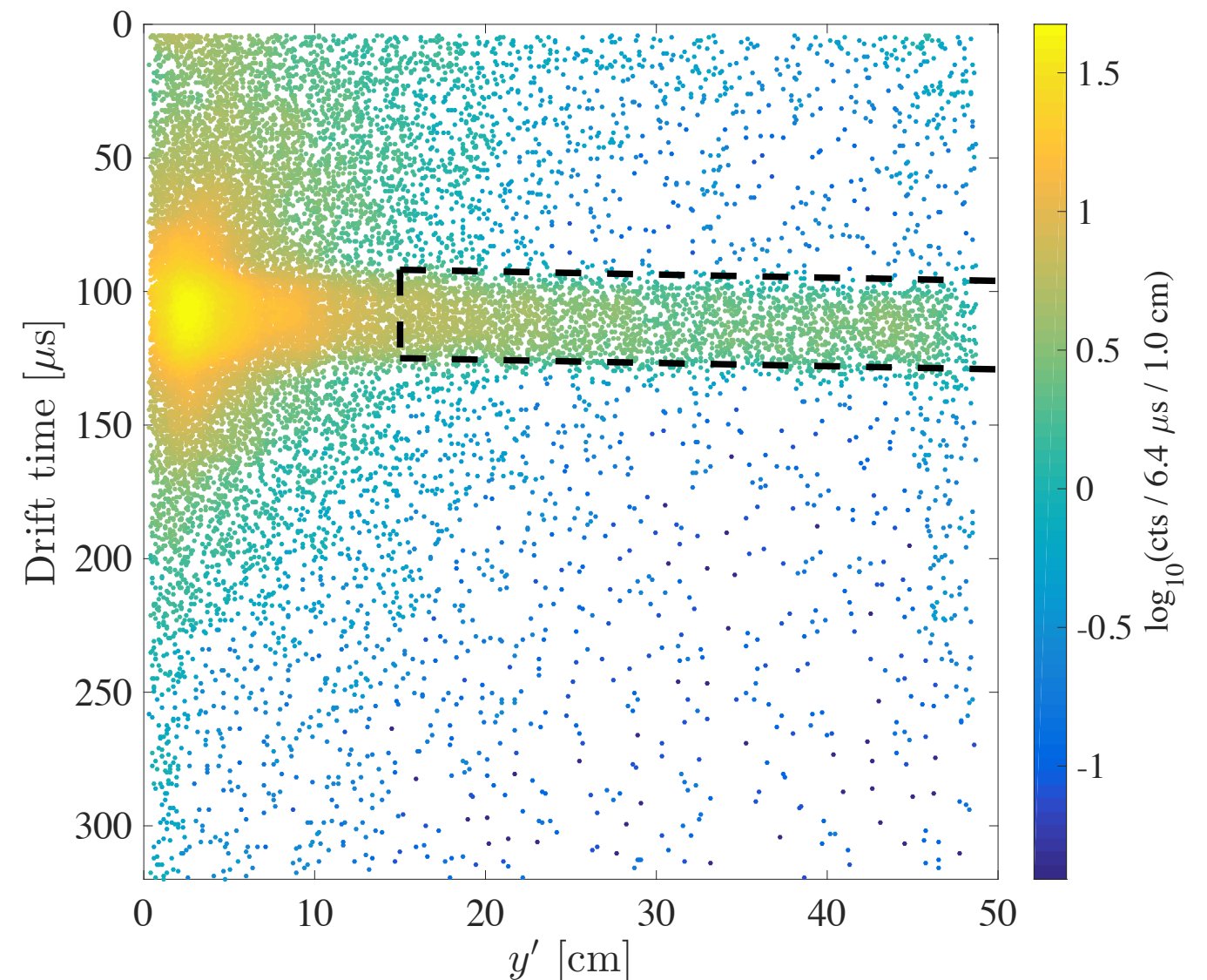
# The D-D Hardware Setup at SURF

# Neutron conduit installed in the LUX water tank





# Adelphi Technology, Inc. DDI 08 neutron generator installed outside LUX water tank

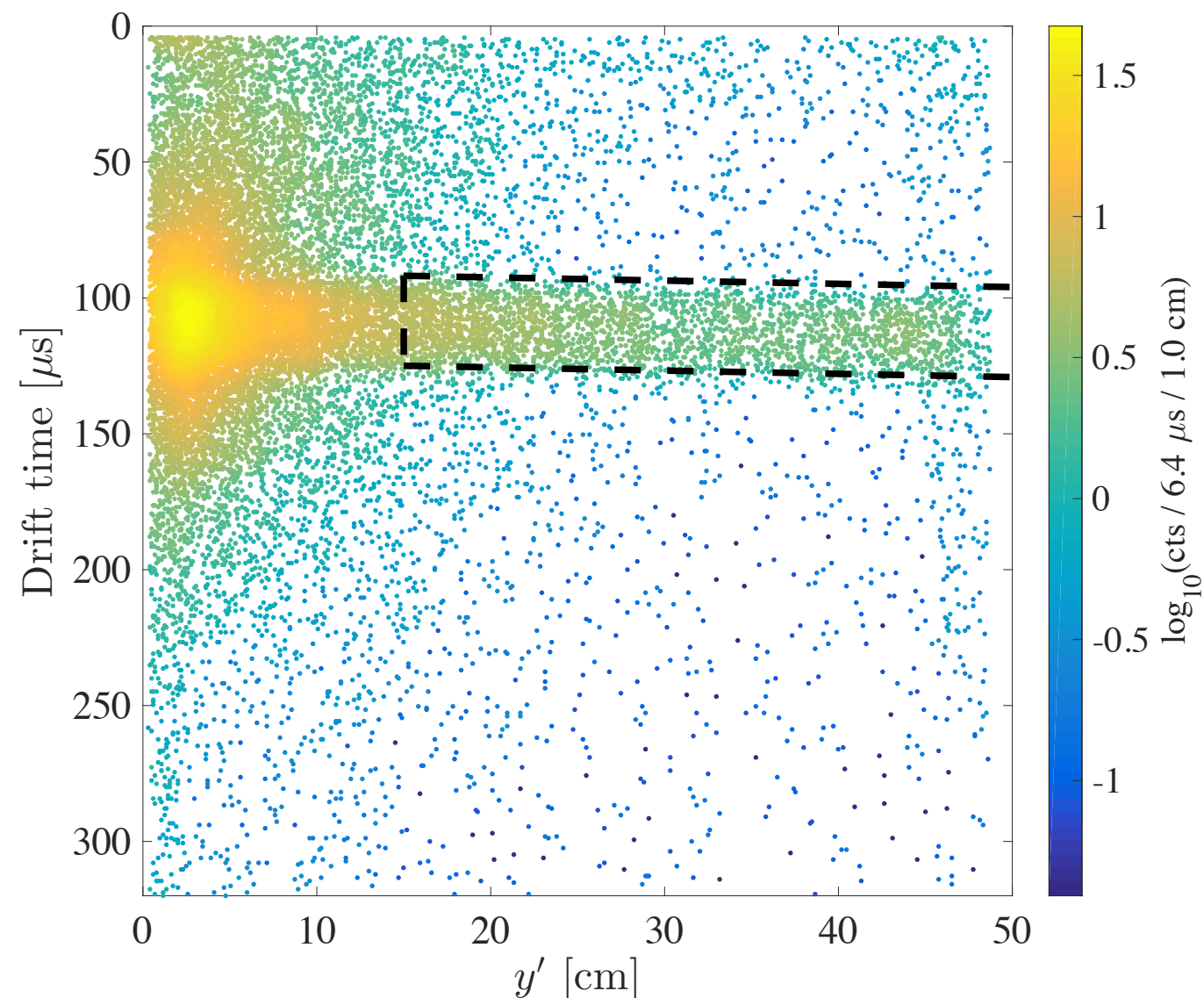
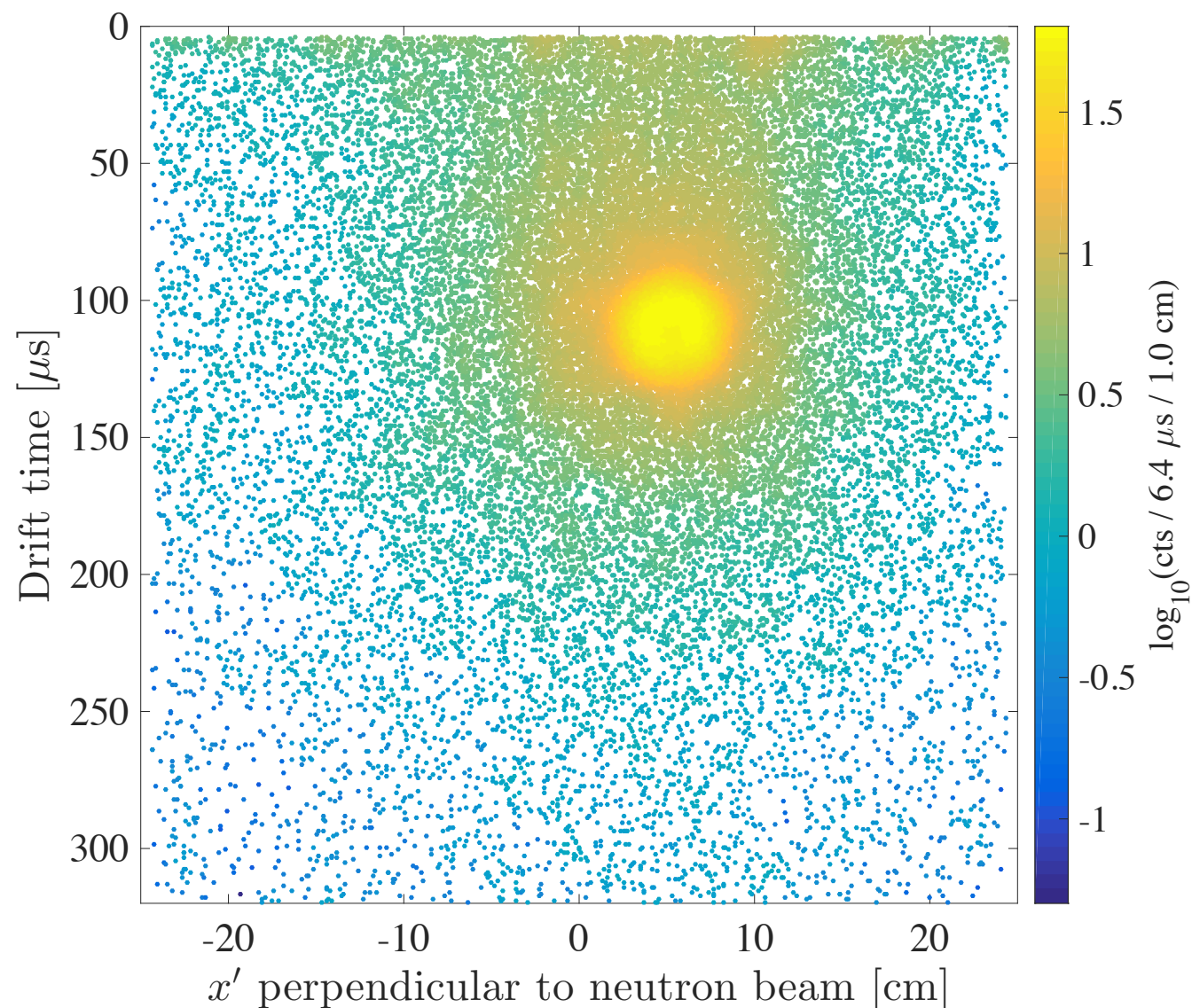


- Neutron generator/beam pipe assembly aligned 16.1 cm below liquid level in LUX active region to maximize usable single / double scatters
- Beam leveled to  $\sim 1$  degree
- 107 live hours of neutron tube data used for analysis
- Neutron production rate:  $(2.5 \pm 0.3) \times 10^6$  n/s



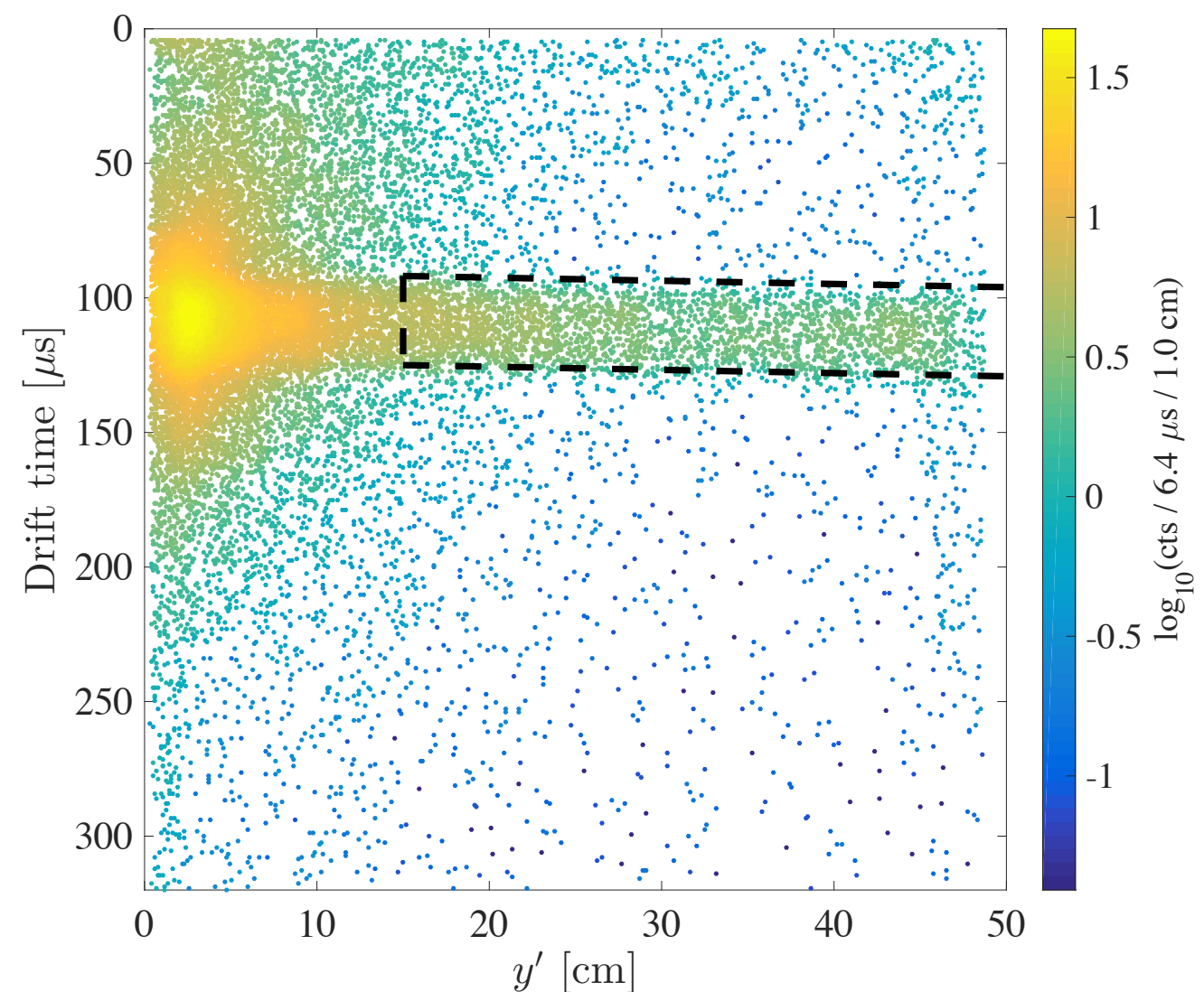
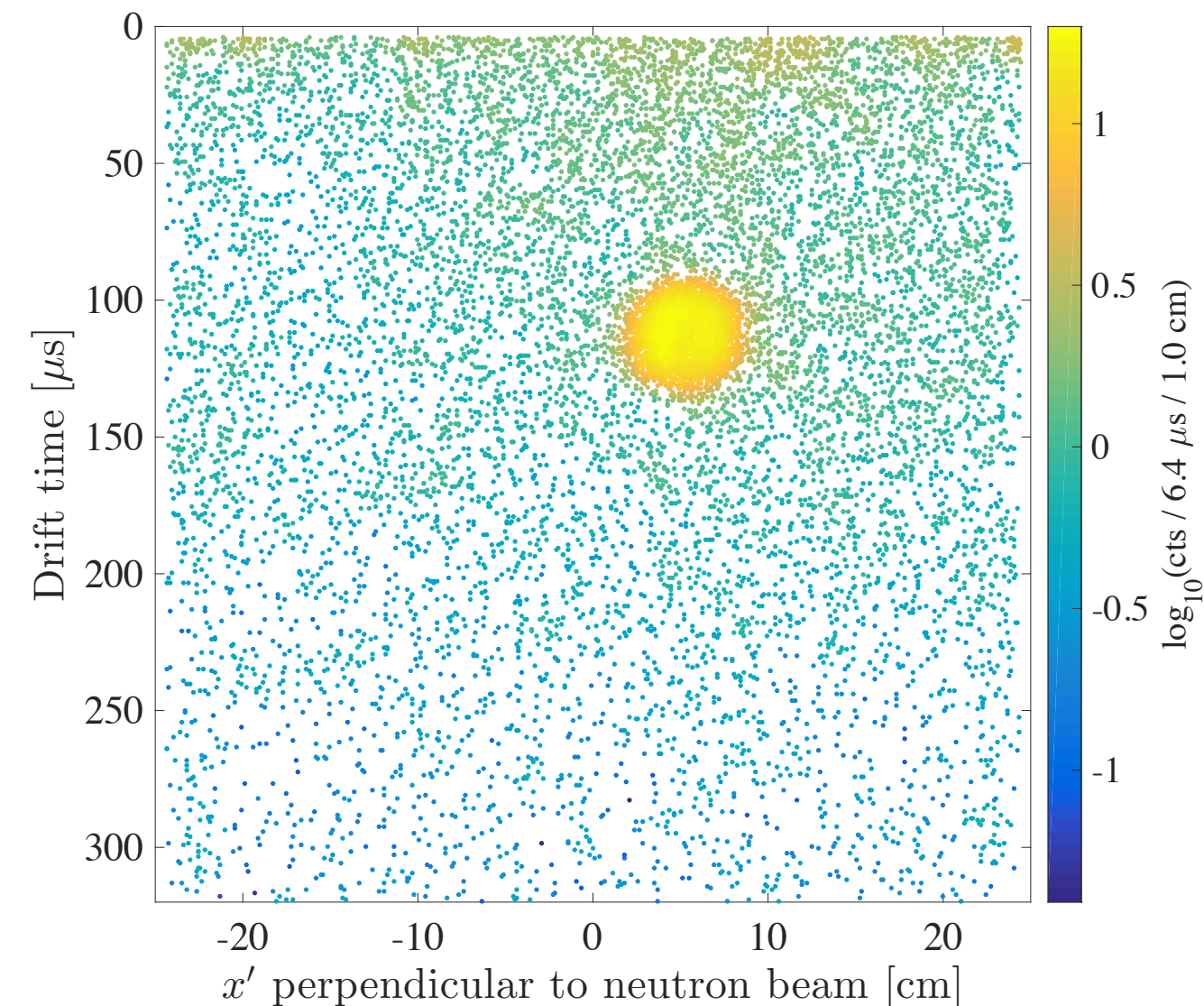
# Beam projection in active region

- The shine from neutron scatters in passive detector materials is visible
- Historically, nuclear recoil calibrations have significant systematics associated with neutrons scattering in passive material
  - We can fiducialize away from such backgrounds!



# Neutron beam energy purity

- After application of  $y' > 15$  cm beam neutron energy purity cut
- This cut eliminates neutron shine from passive materials, and ensures 95% of neutrons in beam sample have energy within 6% of 2.45 MeV
- Neutron beam edge profile demonstrates precision of the LUX detector position reconstruction

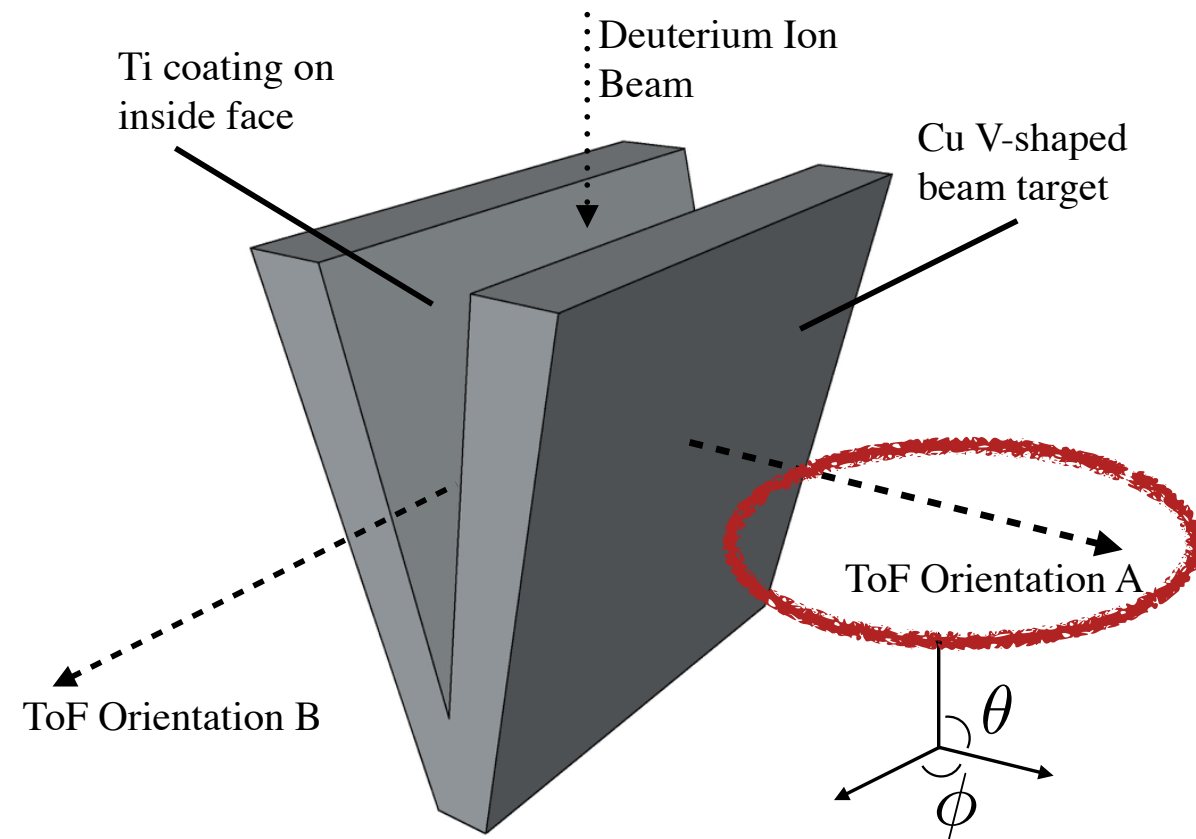
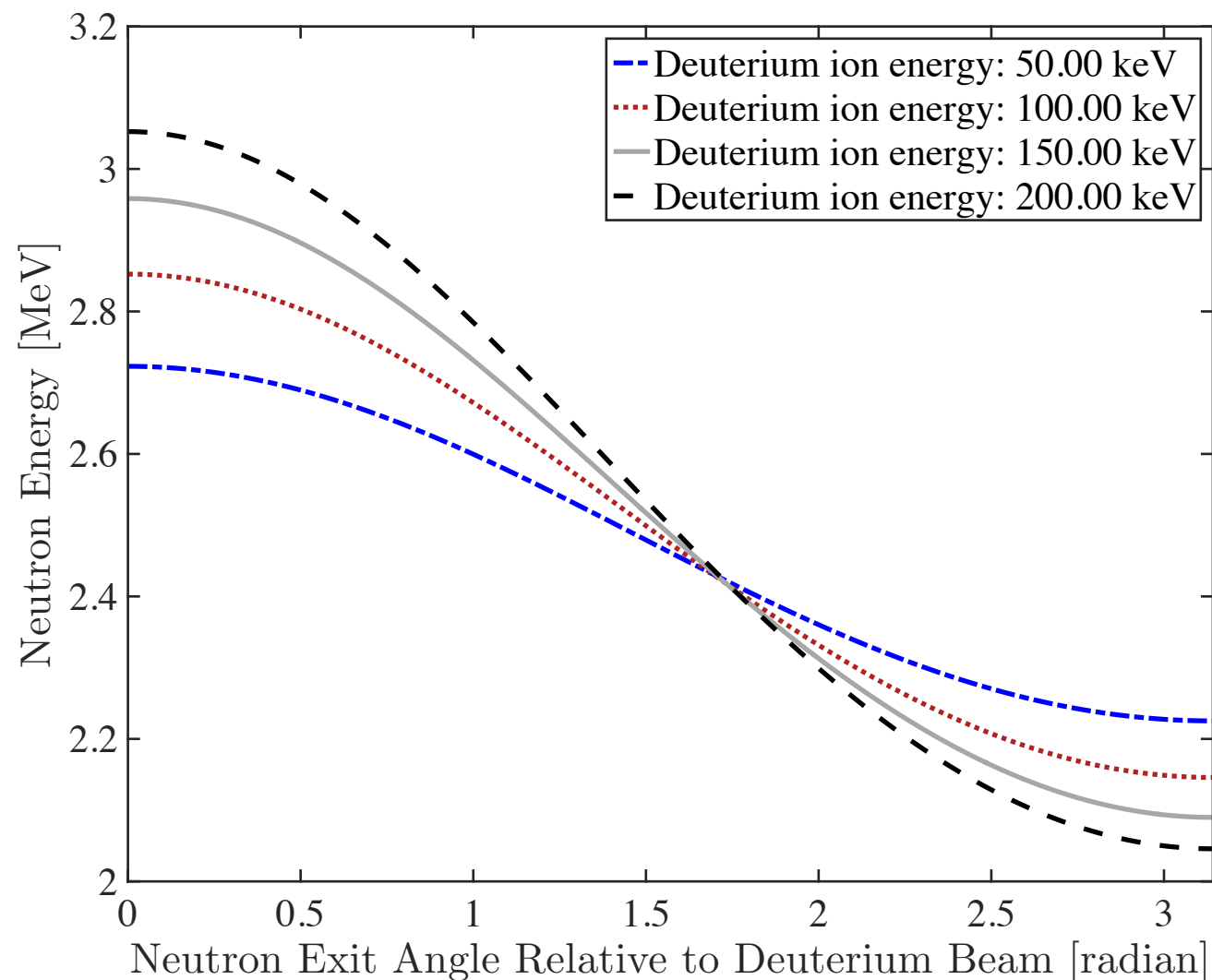




Measurement of the D-D  
Source Neutron Spectrum  
Using Time-of-Flight at  
Brown University

# Dependence of neutron energy on D-D source orientation

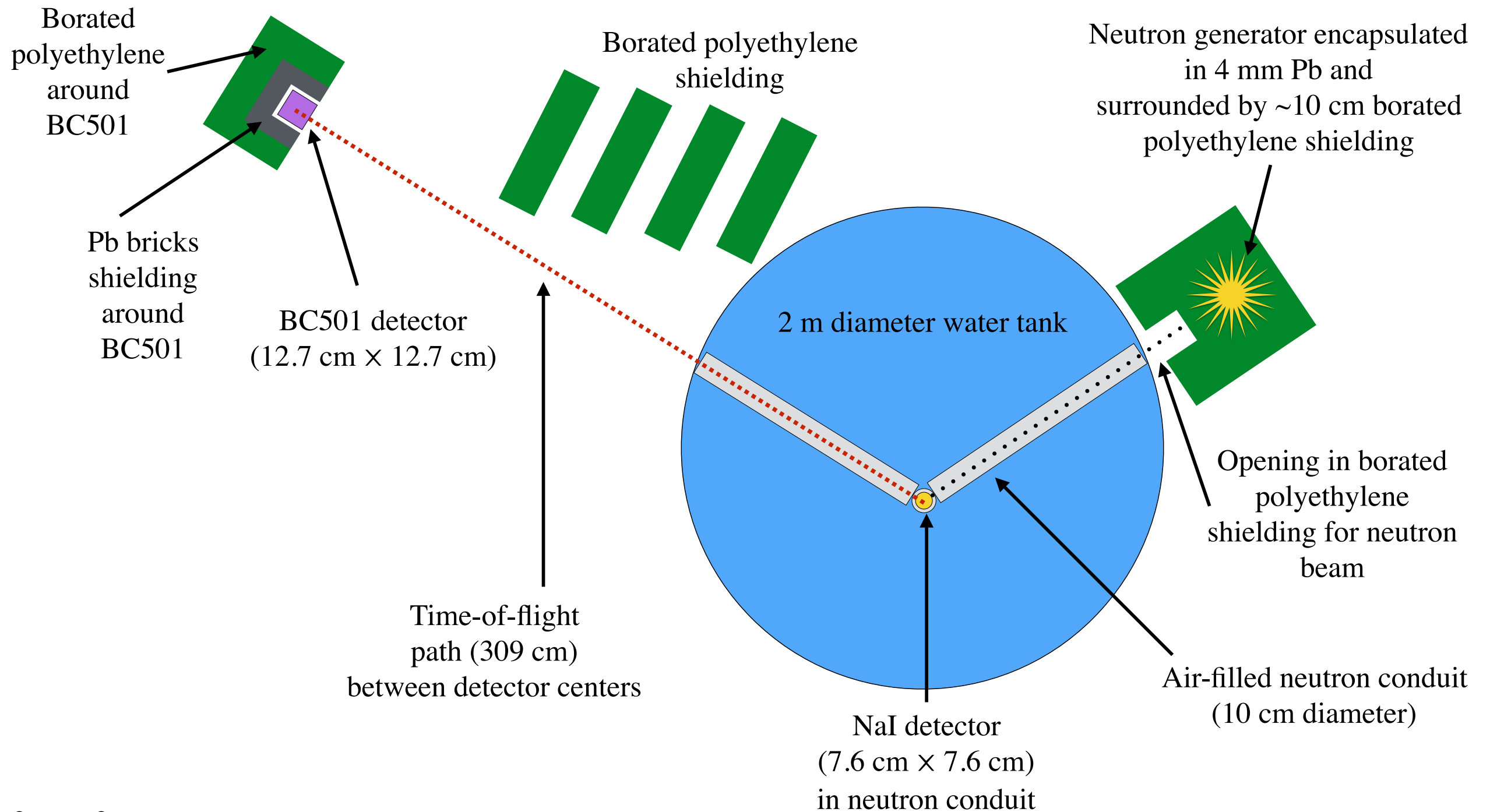
- We used “Target orientation A” for the LUX nuclear recoil calibration
- DDI08 was operated using 80 kV acceleration potential for LUX calibration



- Neutron flux also varies by a factor of two depending on ongoing neutron direction

# Neutron time-of-flight experimental setup at Brown University

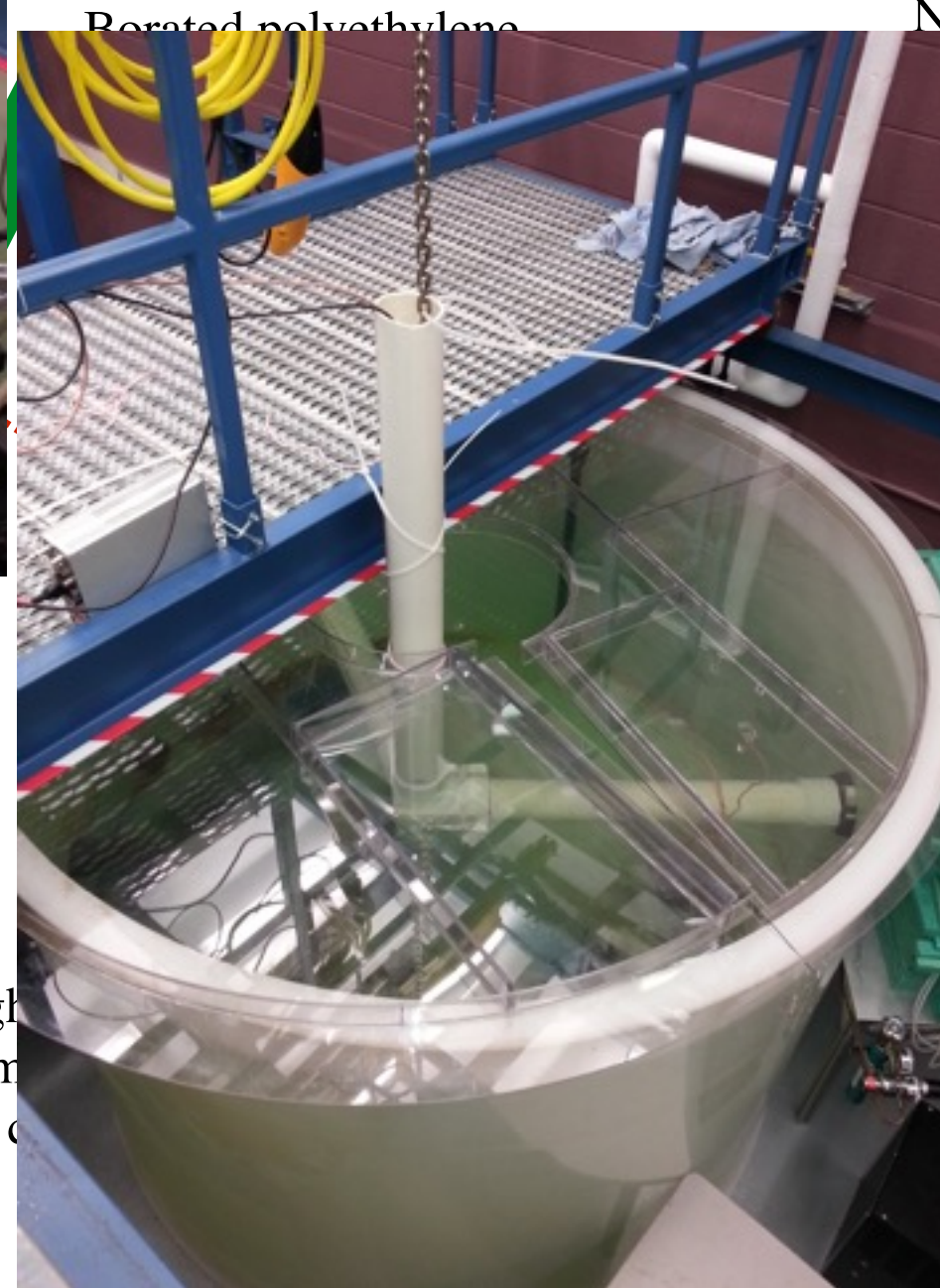
M. Genecov, S. Ghosh, A. Moskowitz (Brown UTRA students) collaborated on the experimental design, operation, and data analysis



$66^\circ \pm 4^\circ$  scattering angle

# Neutron time-of-flight experimental setup at Brown University

M. Genecov, S. Ghosh, A. Moskowitz (Brown UTRA students) collaborated on the experimental



Neutron generator encapsulated in 4 mm Pb and surrounded by ~10 cm borated polyethylene shielding

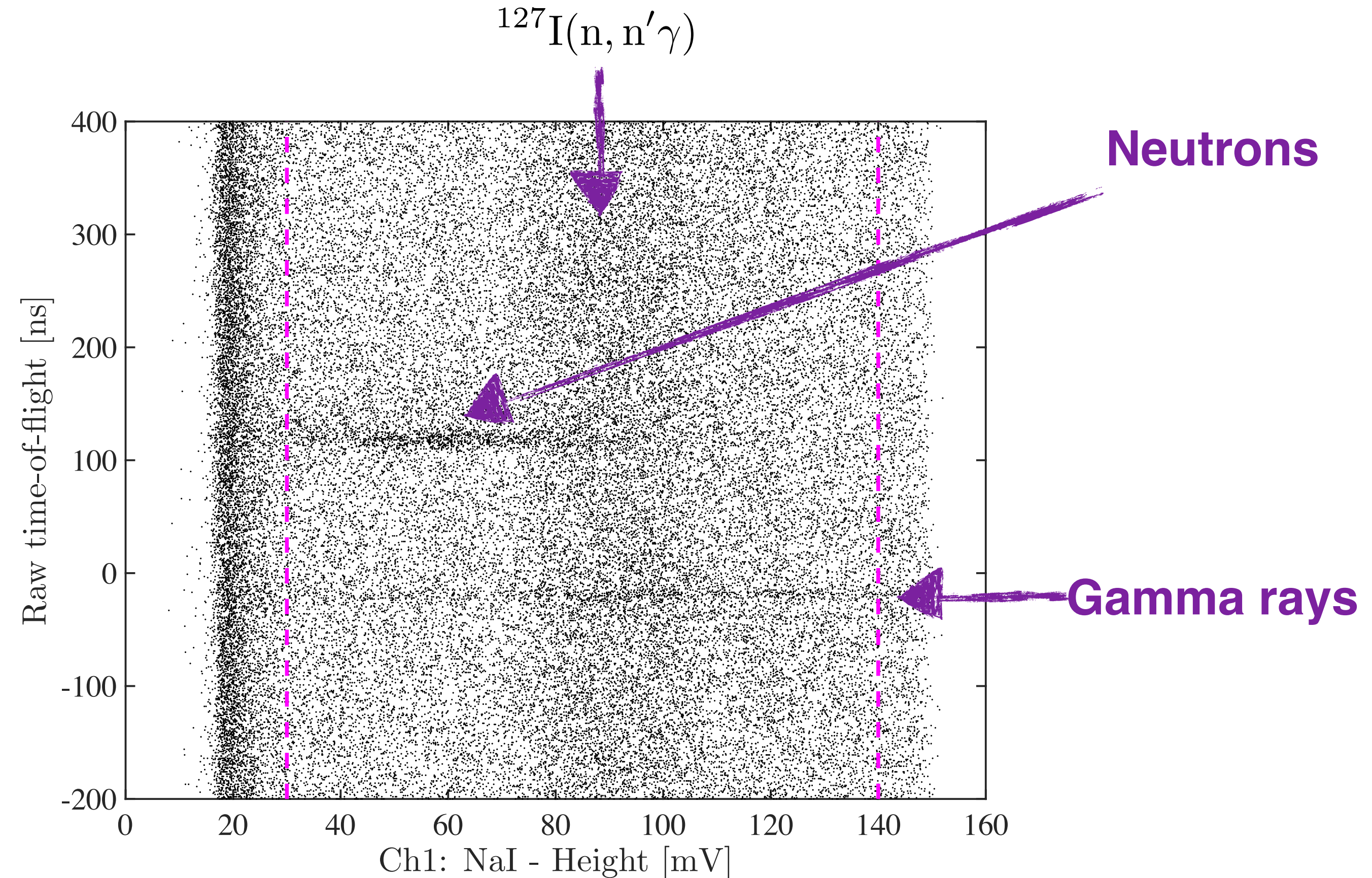
Time-of-flight path (309 cm) between detector and

in neutron conduit

$66^\circ \pm 4^\circ$  scattering angle



# Target orientation A: time-of-flight data





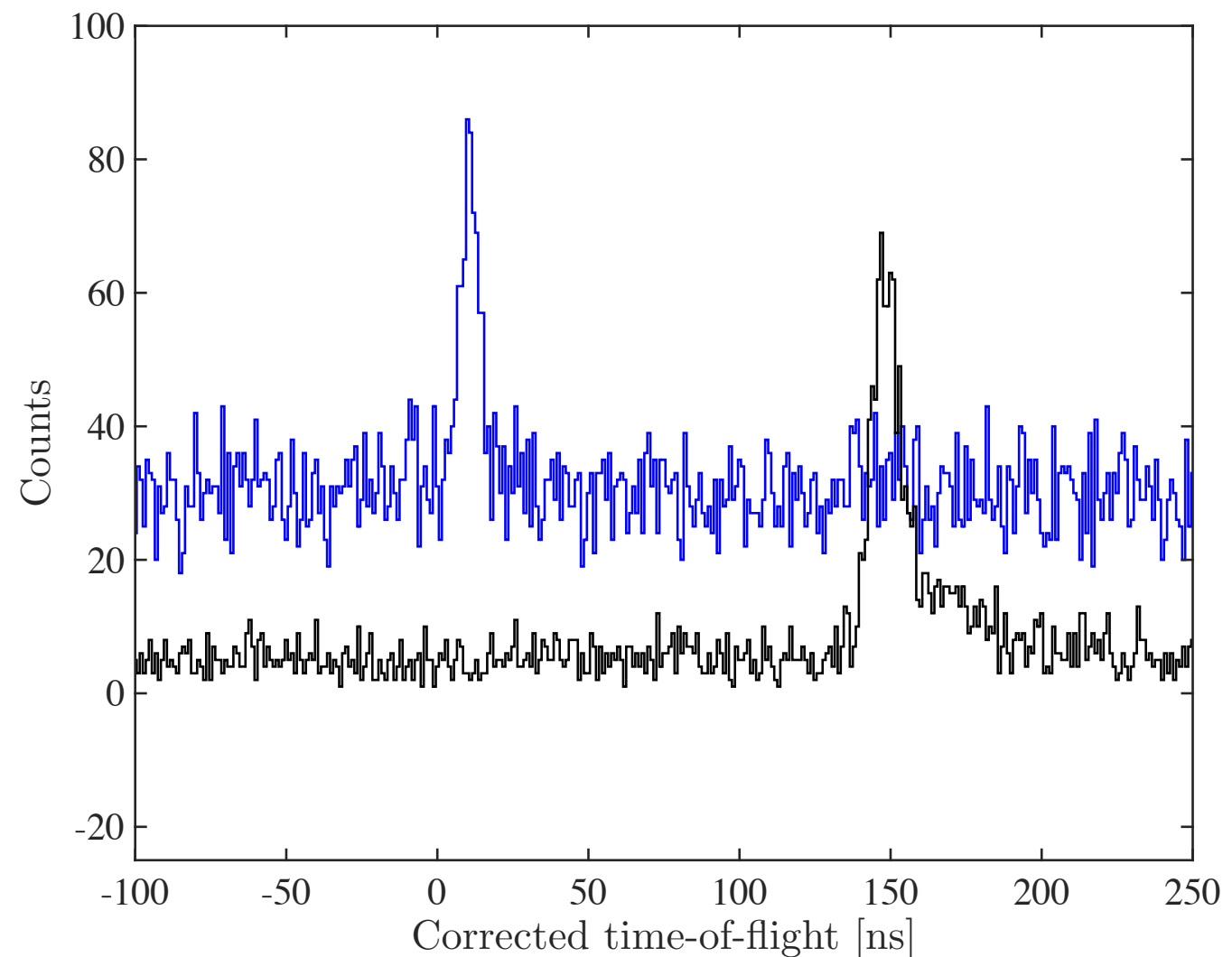
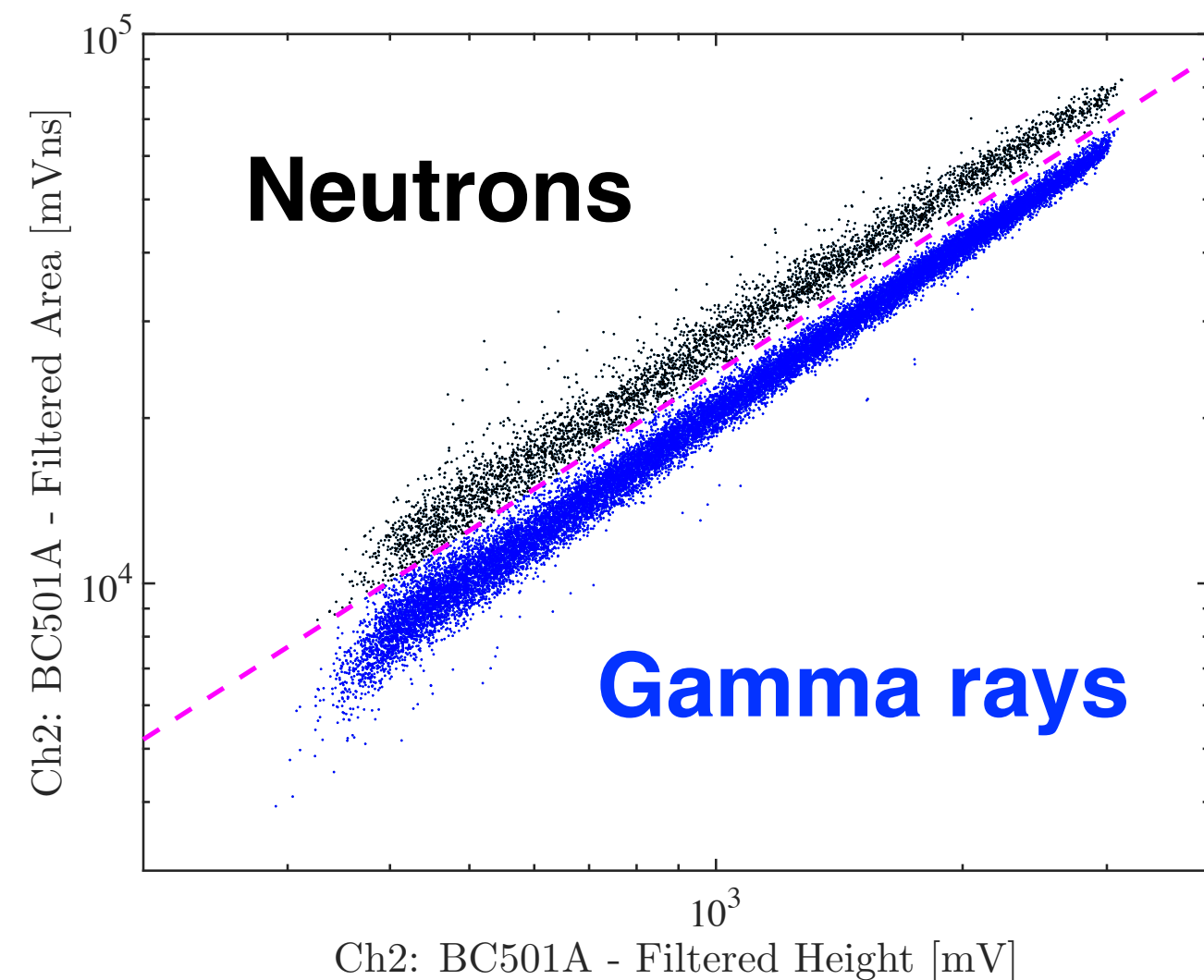
# Target orientation A: verification of D-D source neutron energy spectrum

- Mean neutron energy is in agreement with theoretical value of 2.45 MeV

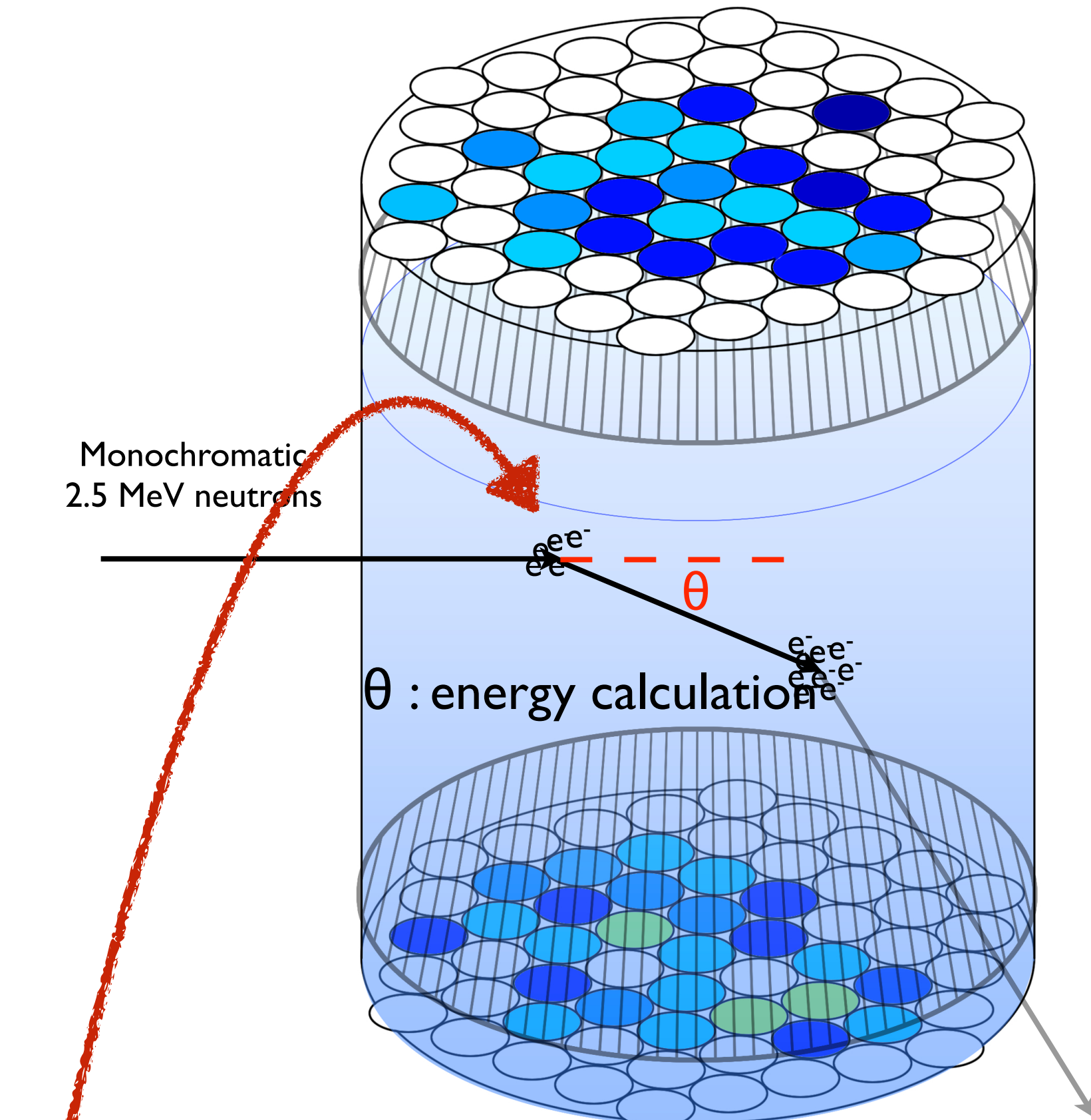
$$2.401 \pm 0.012 \text{ (stat)} \pm 0.060 \text{ (sys)} \text{ MeV}$$

- Outgoing neutron energy distribution width:

$$\frac{\sigma}{\mu} = 4.4\% \pm 0.6\% \text{ (stat)} \pm 0.8\% \text{ (sys)}$$



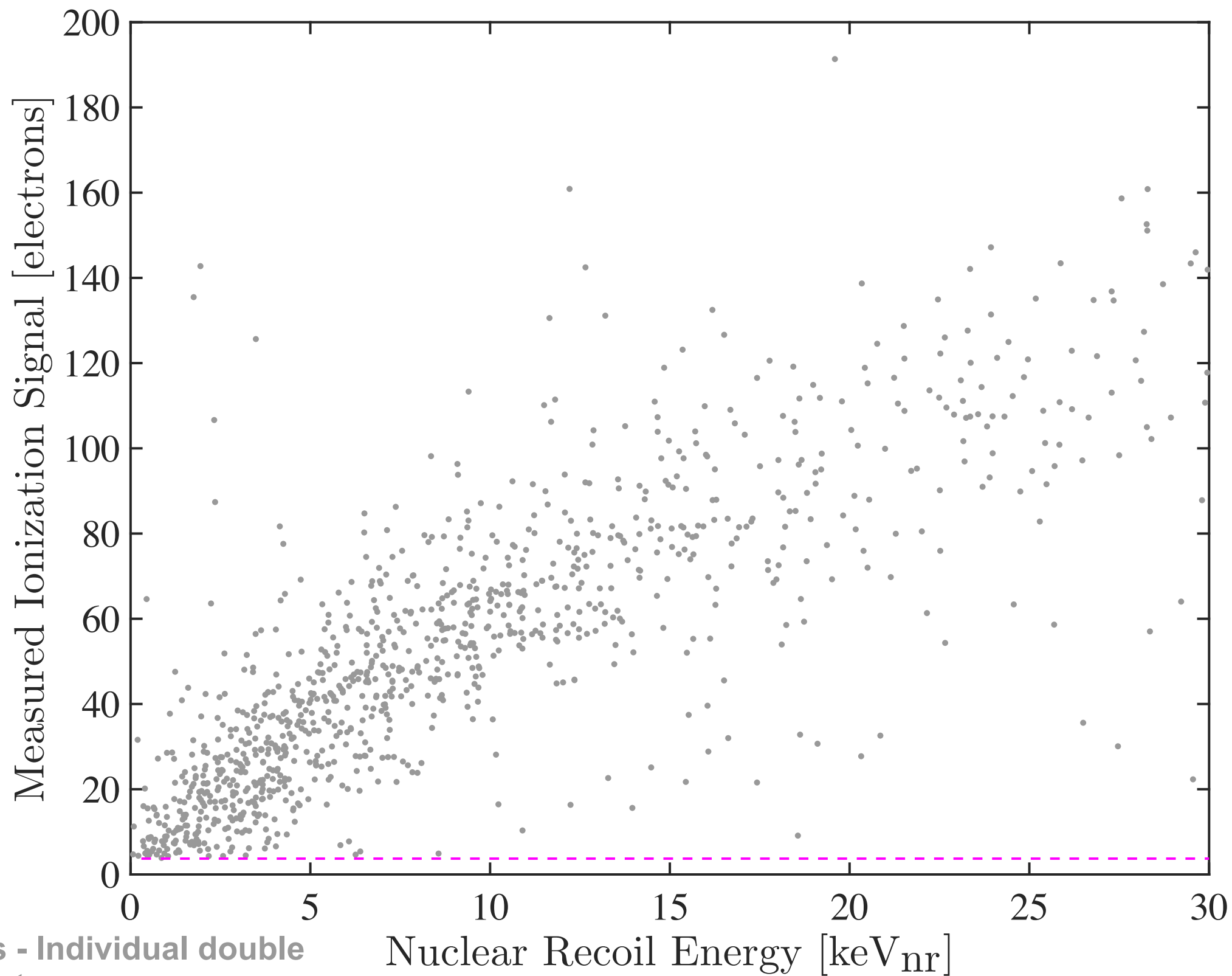
# D-D Low-Energy $Q_y$ Measurement



$$E_{nr} \approx E_n \frac{4m_n m_{Xe}}{(m_n + m_{Xe})^2} \frac{1 - \cos \theta}{2}$$

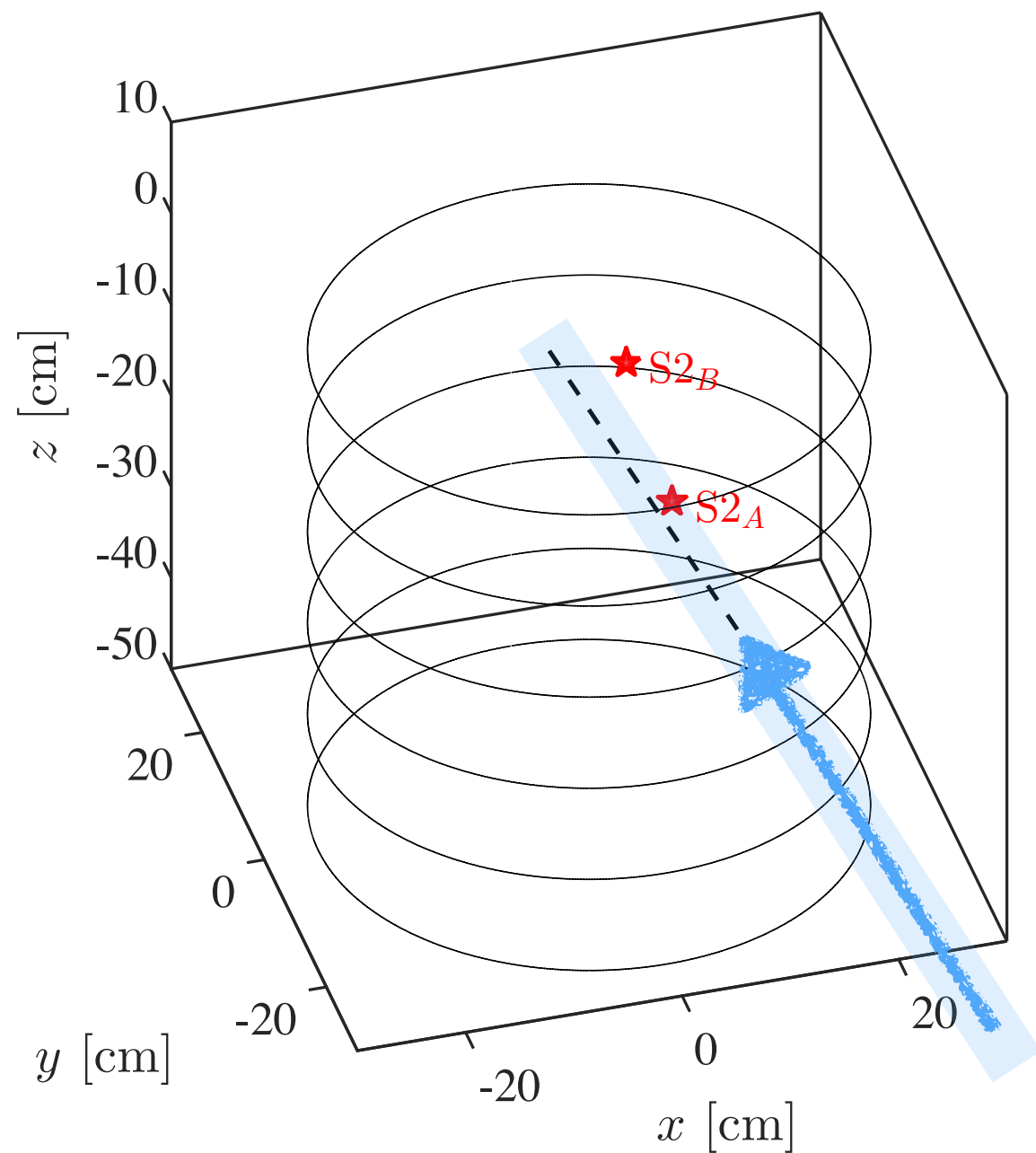


# Observed ionization signal



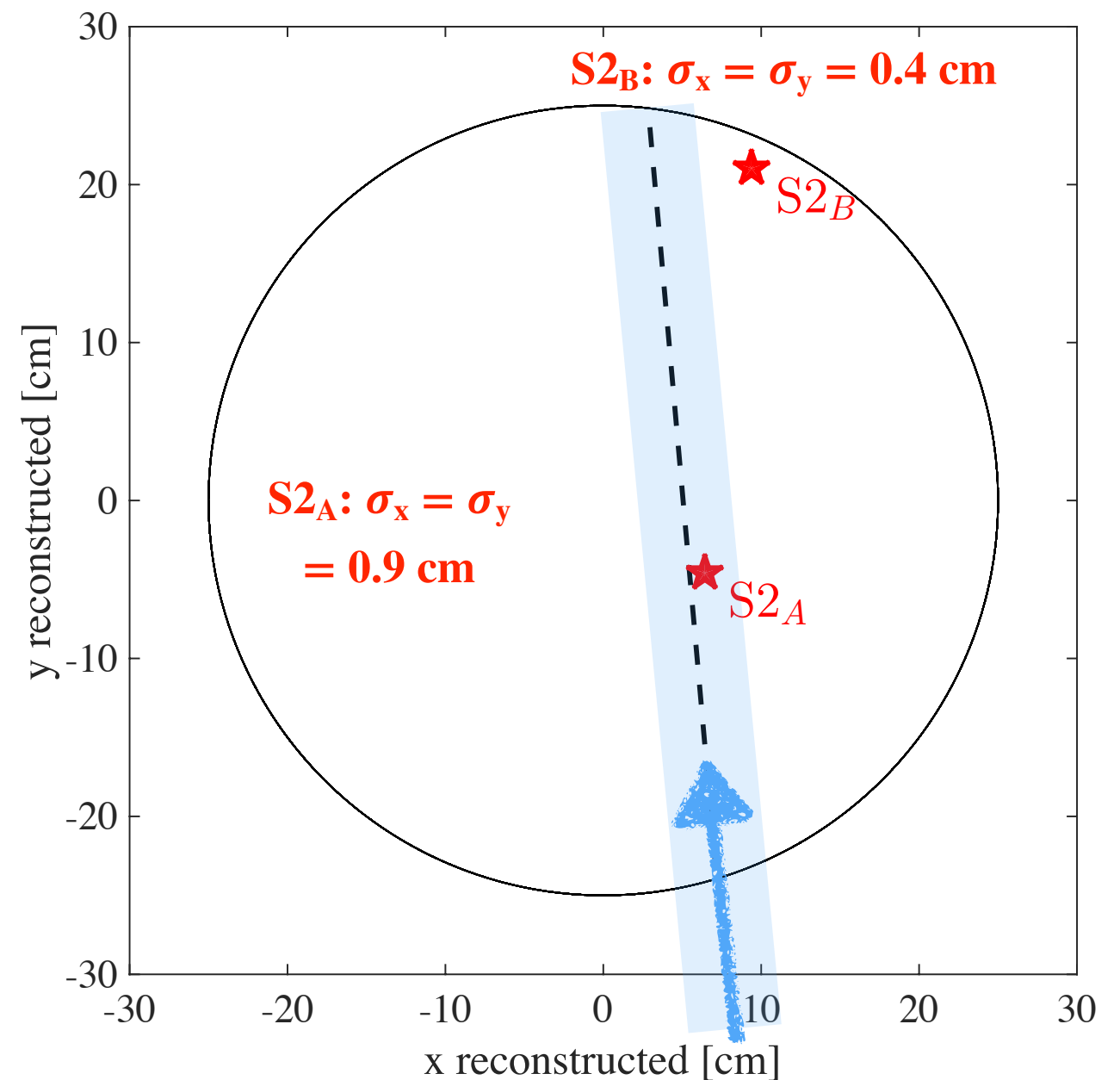
Grey Points - Individual double scatter events

# What does a 1 keV<sub>nr</sub> double scatter look like?

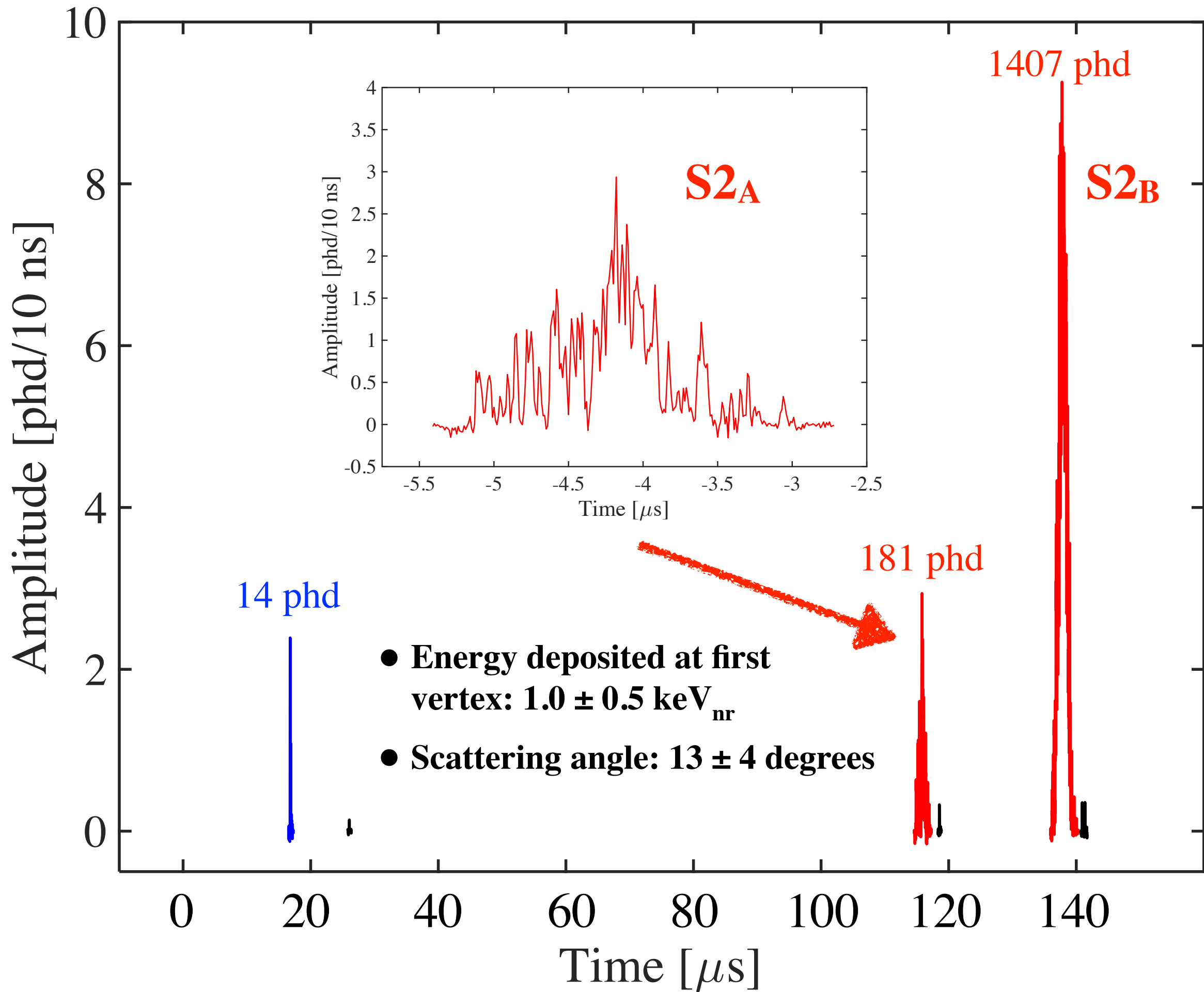


**2.45 MeV  
neutron beam**

- x, y, z position of both S2 vertices from a 1 keV<sub>nr</sub> double-scatter in REAL DATA







# Ionization signal absolutely measured below 1 keV<sub>nra</sub> in LUX

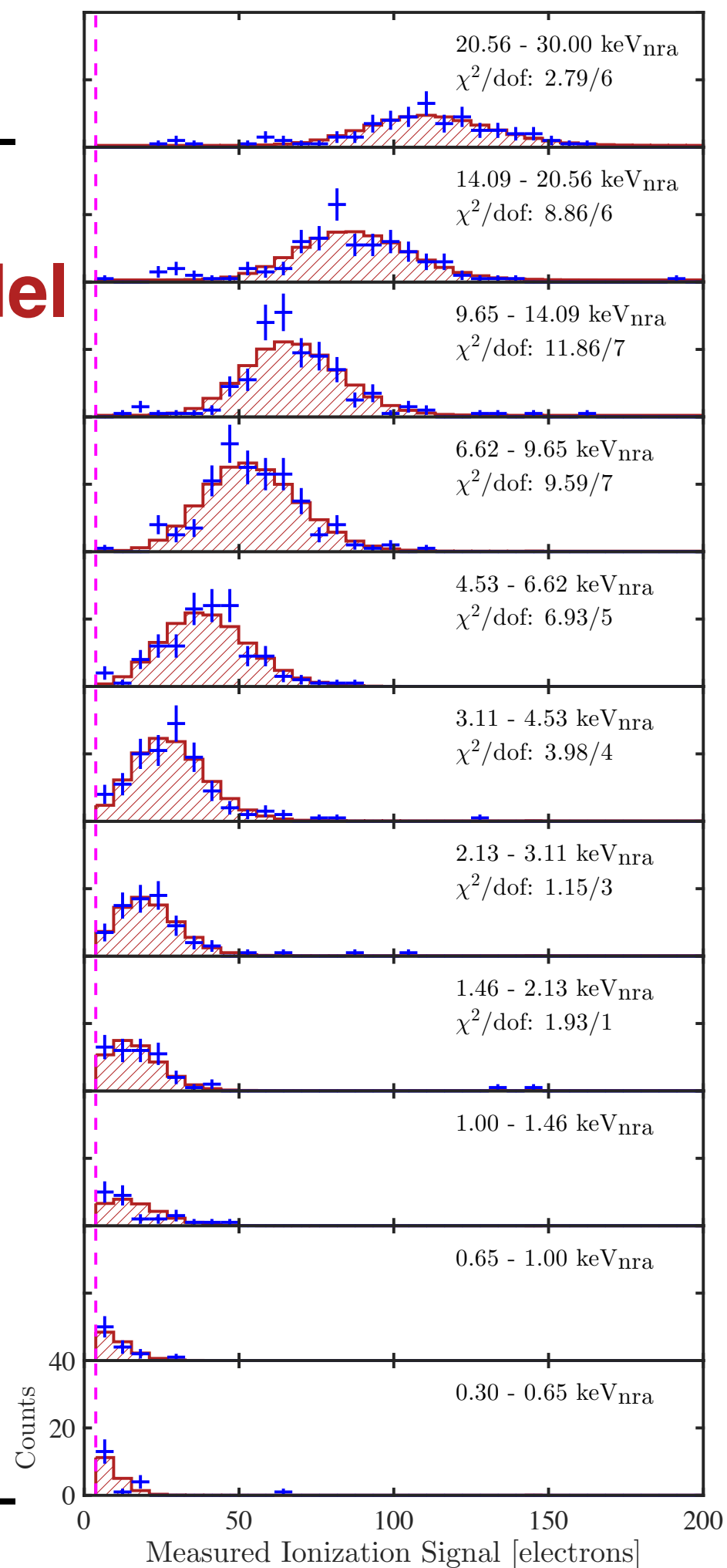
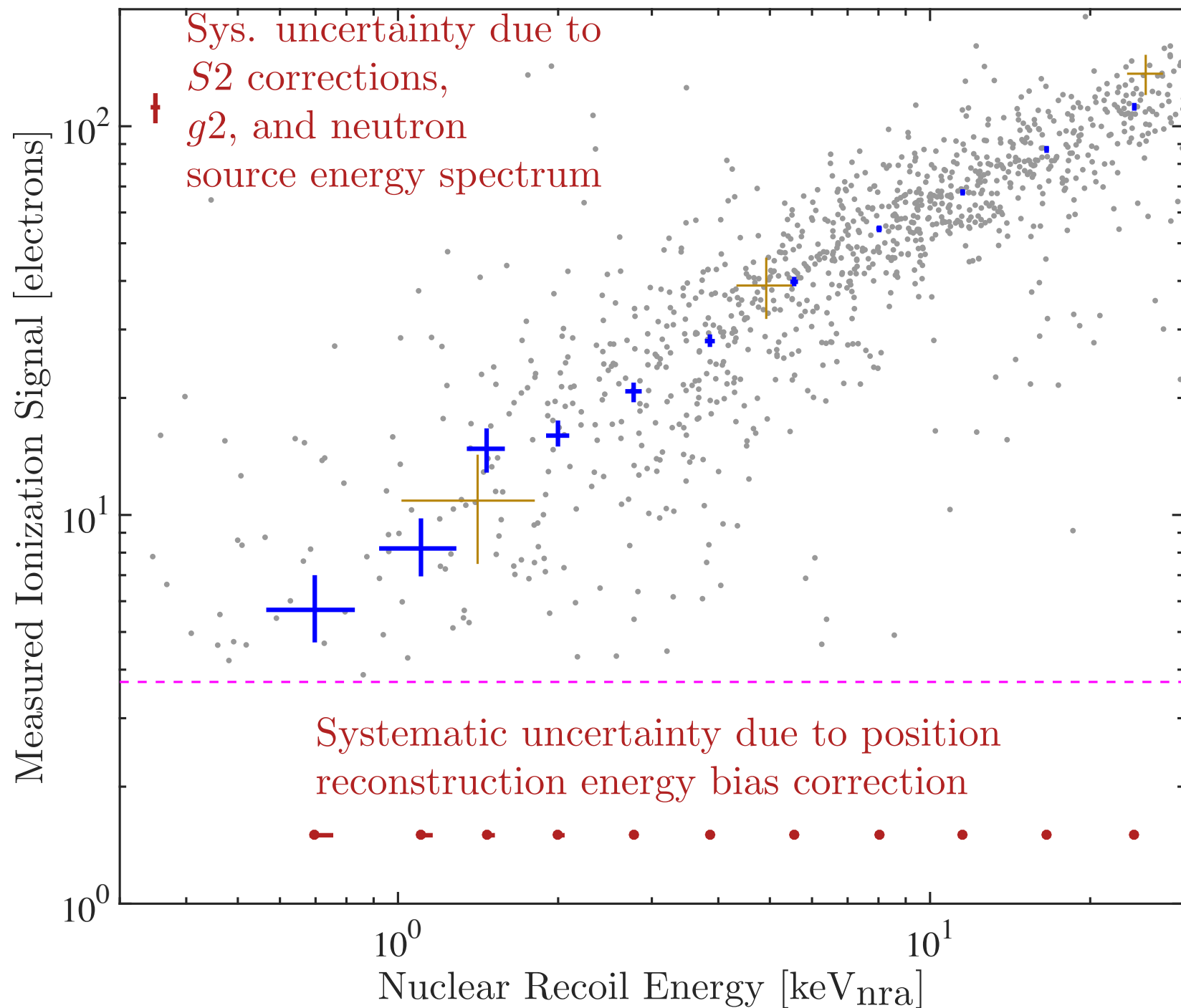
Individual double scatter events

Reconstructed number of electrons with associated statistical uncertainty

Example error bars for individual events

## Data

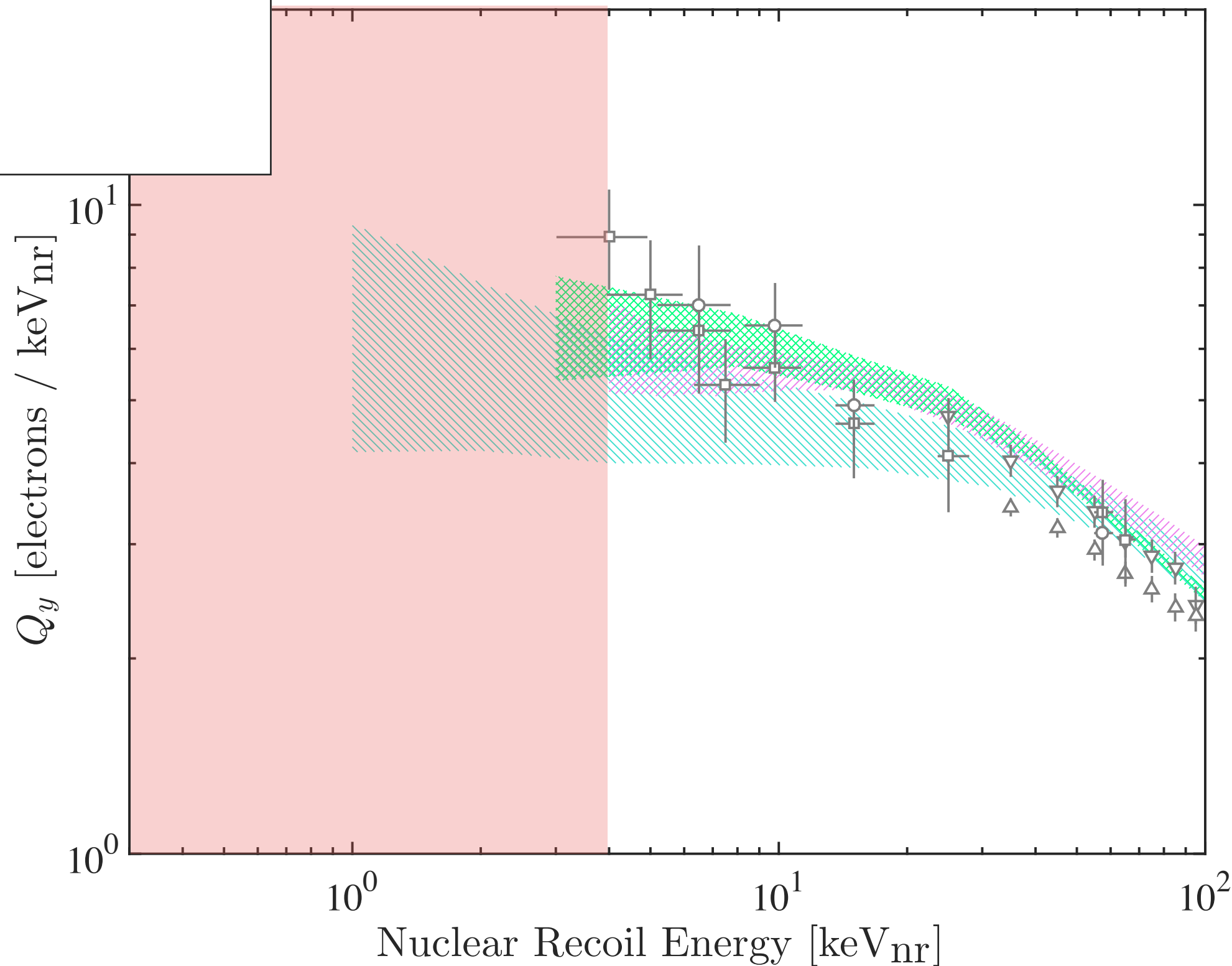
## Best-fit model



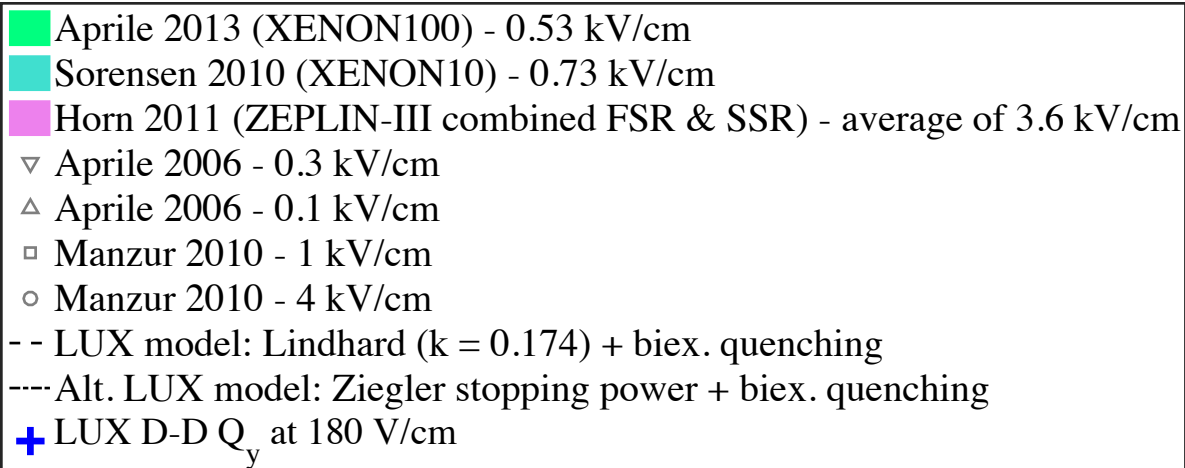


# Ionization yield absolutely measured below 1 keV<sub>nr</sub> in LUX

- Aprile 2013 (XENON100) - 0.53 kV/cm
- Sorensen 2010 (XENON10) - 0.73 kV/cm
- Horn 2011 (ZEPLIN-III combined FSR & SSR) - average of 3.6 kV/cm
- ▽ Aprile 2006 - 0.3 kV/cm
- △ Aprile 2006 - 0.1 kV/cm
- Manzur 2010 - 1 kV/cm
- Manzur 2010 - 4 kV/cm



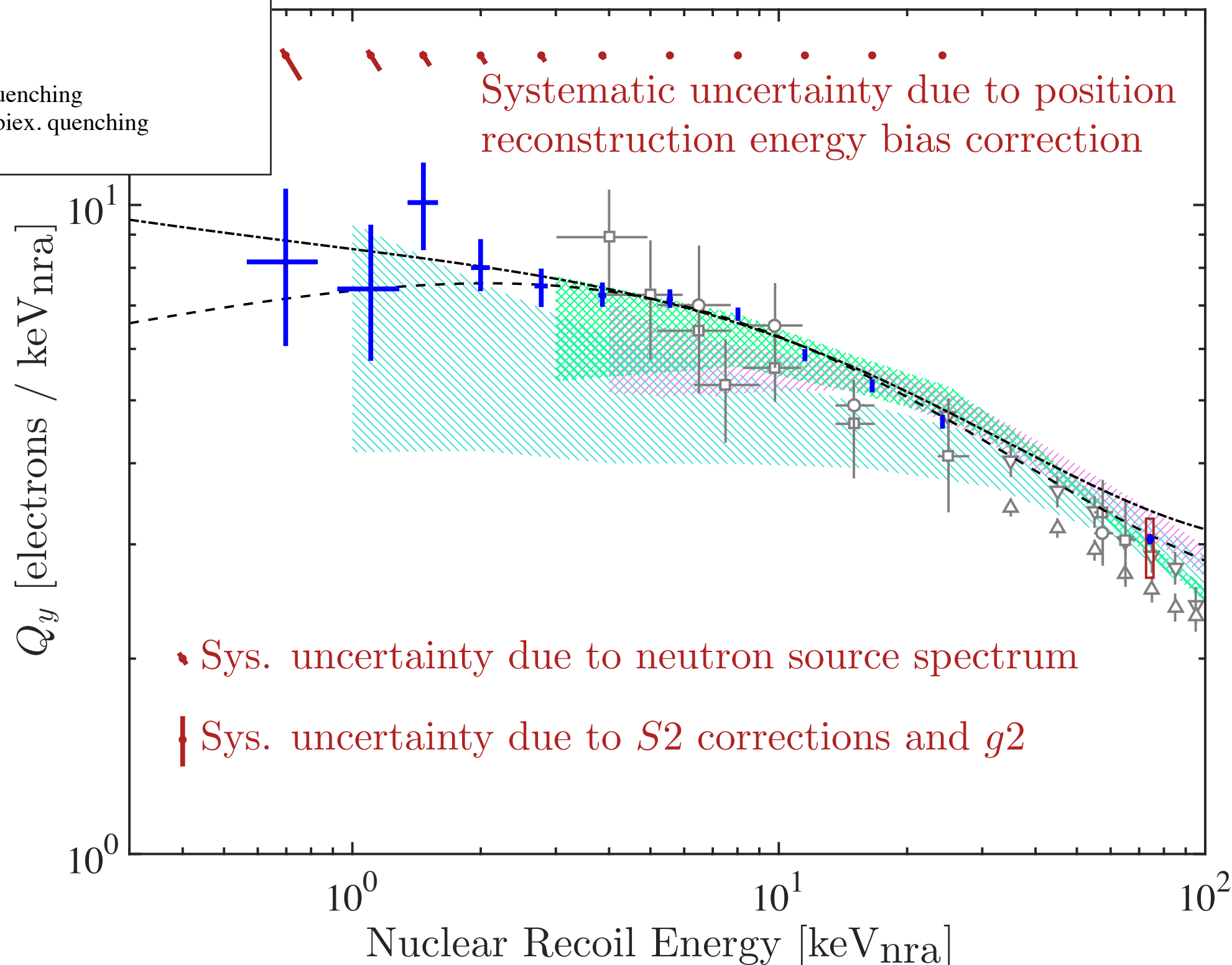
# Ionization yield absolutely measured below 1 keV<sub>nra</sub> in LUX



**Most significant systematic uncertainties shown in red**

Systematic uncertainty due to position reconstruction energy bias correction

- LUX measurement a factor of x5 lower in energy than previous fixed-angle calibration
- Improvement in calibration uncertainties
- *In situ* verification of signal response in LUX





# D-D Low-Energy *L<sub>y</sub>* Measurement

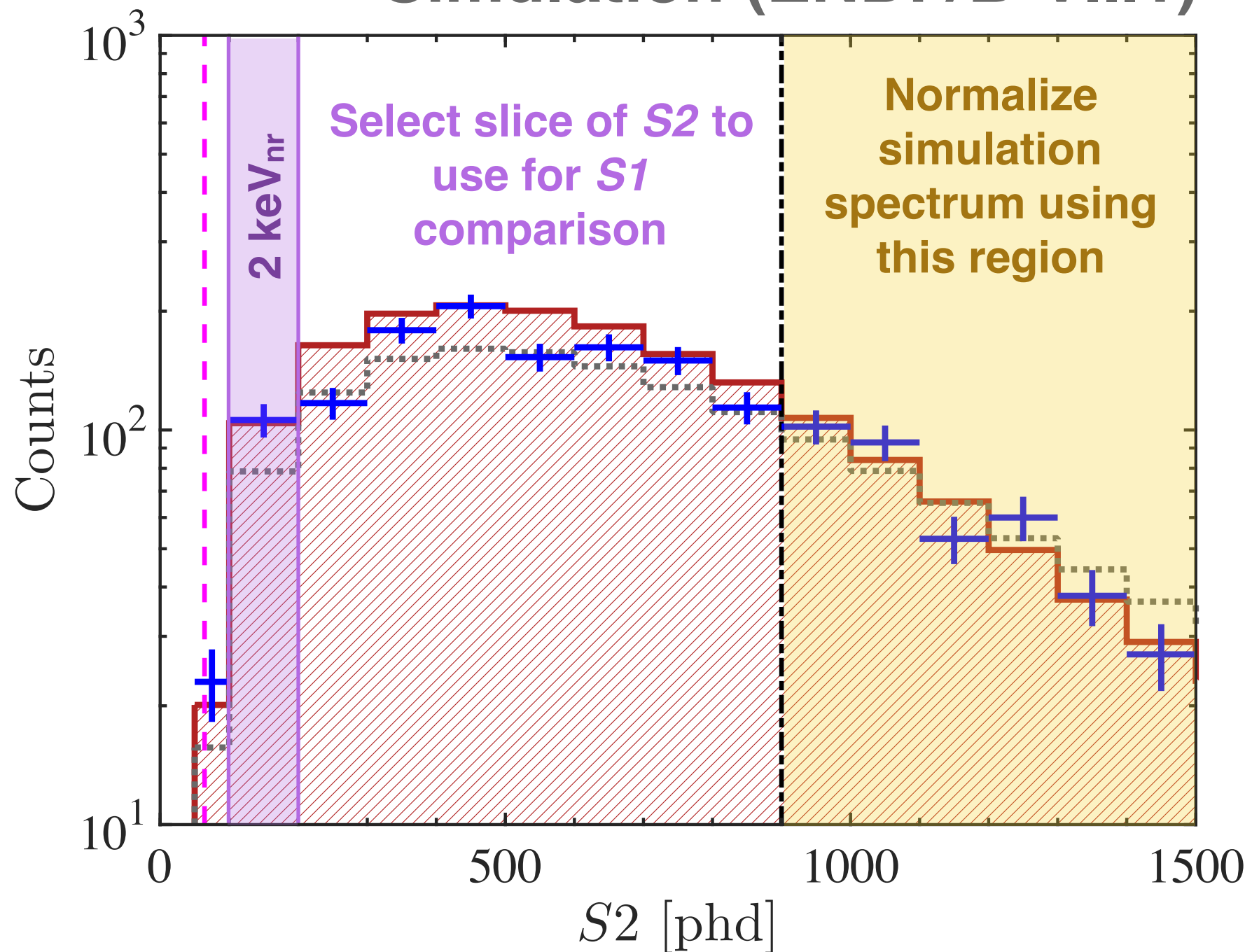
# Measuring the scintillation yield

- Use single scatters inside neutron beam projection with  $y' > 15$  cm
- $L_y$  measurement range is 50-900 phd S2
- Simulation event distribution is normalized outside of  $L_y$  measurement range using  $900 < S2 < 1500$  phd

**Data**

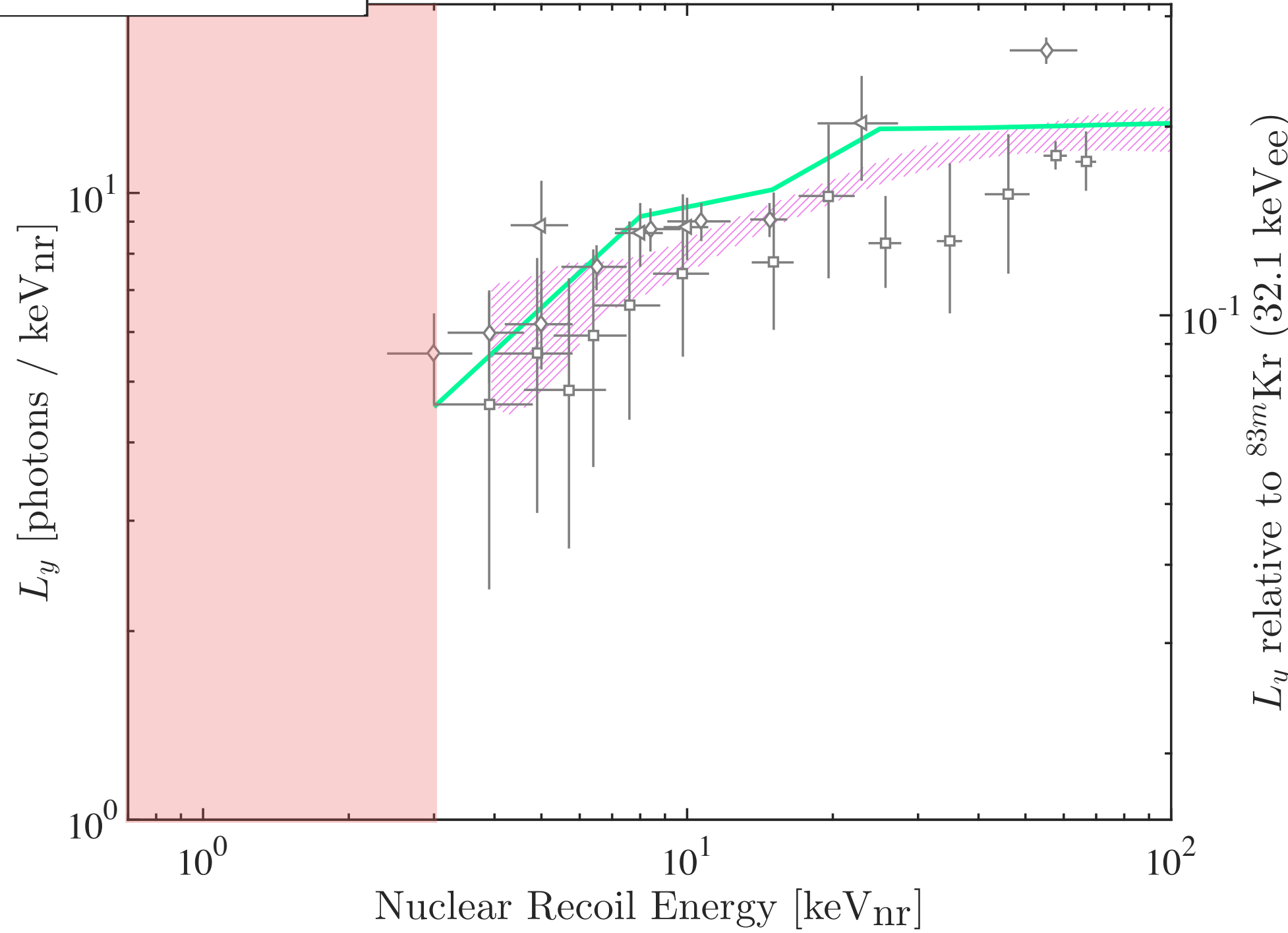
**Simulation (JENDL-4)**

**Simulation (ENDF/B-VII.1)**



# Scintillation yield absolutely measured to $\sim 1$ keVnr in LUX

- Horn 2011 (ZEPLIN-III combined FSR & SSR)
- Aprile 2013 (XENON100)
- Manzur 2010
- ◇ Plante 2011
- ◁ Aprile 2009

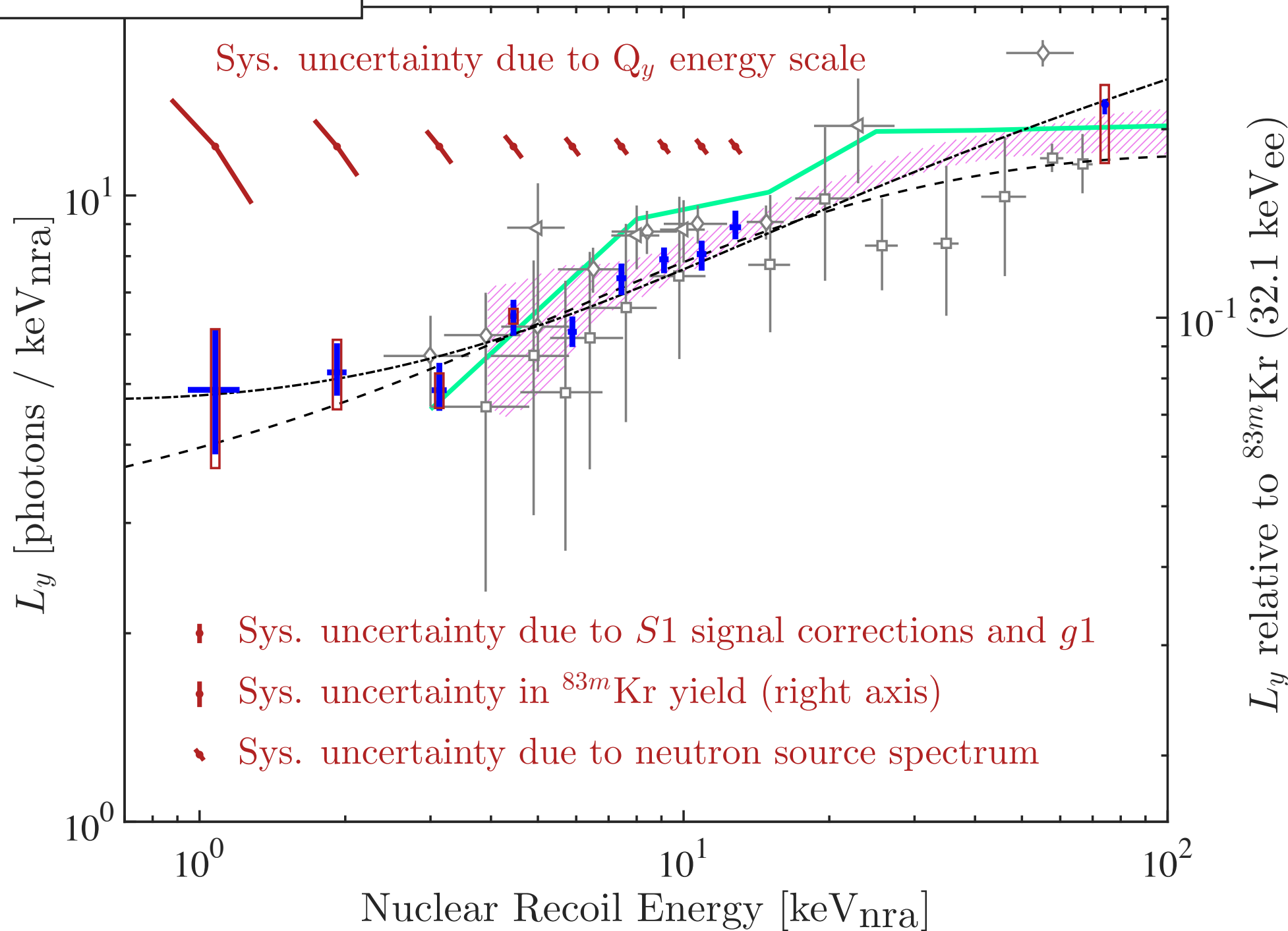




Scintillation yield absolutely measured to  $\sim 1$  keVnr in LUX

- Horn 2011 (ZEPLIN-III combined FSR & SSR)
- Aprile 2013 (XENON100)
- Manzur 2010
- ◇ Plante 2011
- ◁ Aprile 2009
- - LUX model: Lindhard ( $k = 0.174$ ) + biex. quenching
- - - Alt. LUX model: Ziegler stopping power + biex. quenching
- + LUX D-D  $L_y$  at 180 V/cm

- LUX measurement nearly a factor of x3 lower in energy with improvement in calibration uncertainties

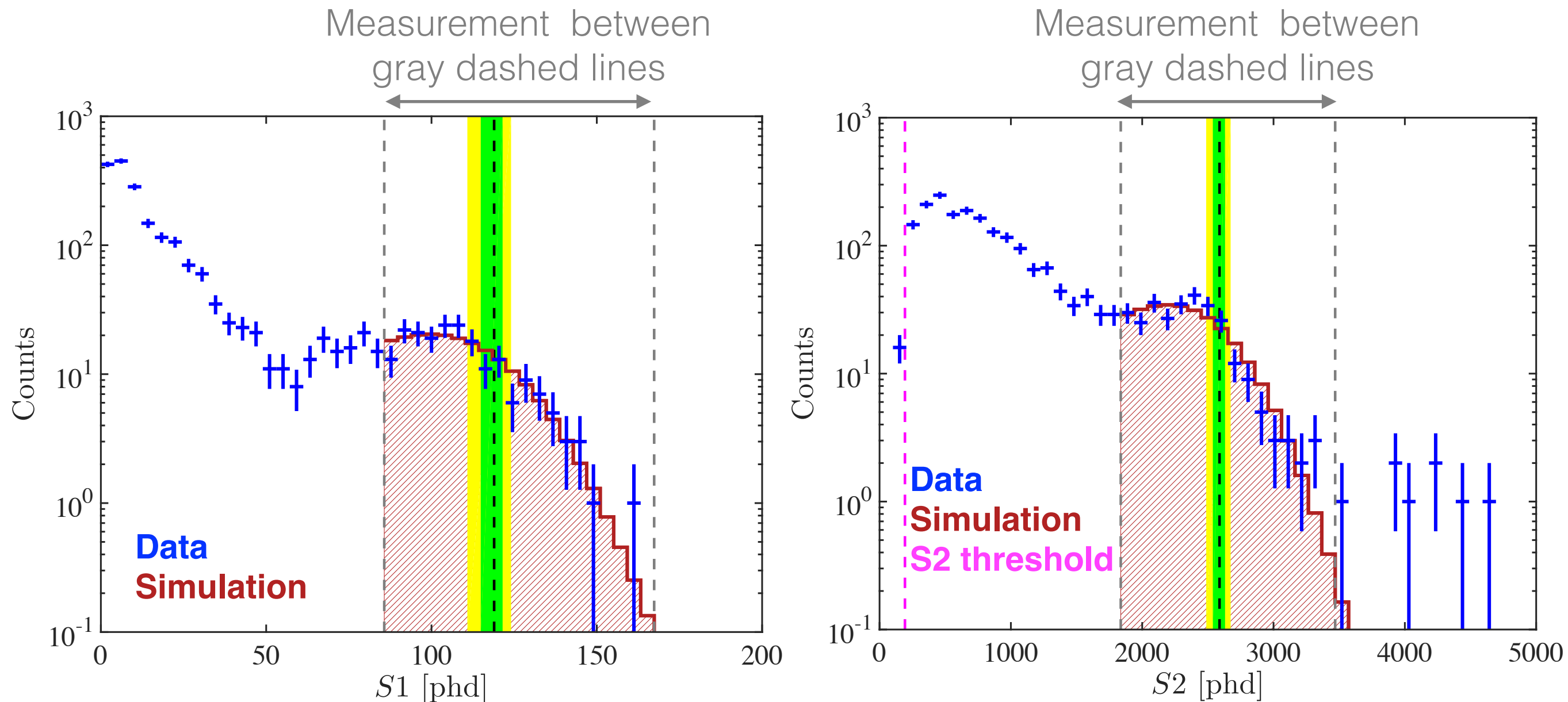


# Signal Yields at the D-D Nuclear Recoil Spectrum Endpoint



# Signal yields at the D-D spectrum endpoint

- The light and charge yields measured at  $74 \text{ keV}_{\text{nr}}$  using nuclear recoil spectrum endpoint
- Best fit signal yield shown by **black dashed line** with 1- and 2- $\sigma$  statistical uncertainties given by **green** and **yellow** bands, respectively



# Impact of the Calibration on LUX and Other Liquid Xenon Dark Matter Experiments



# Lindhard-based best fit model and total quanta

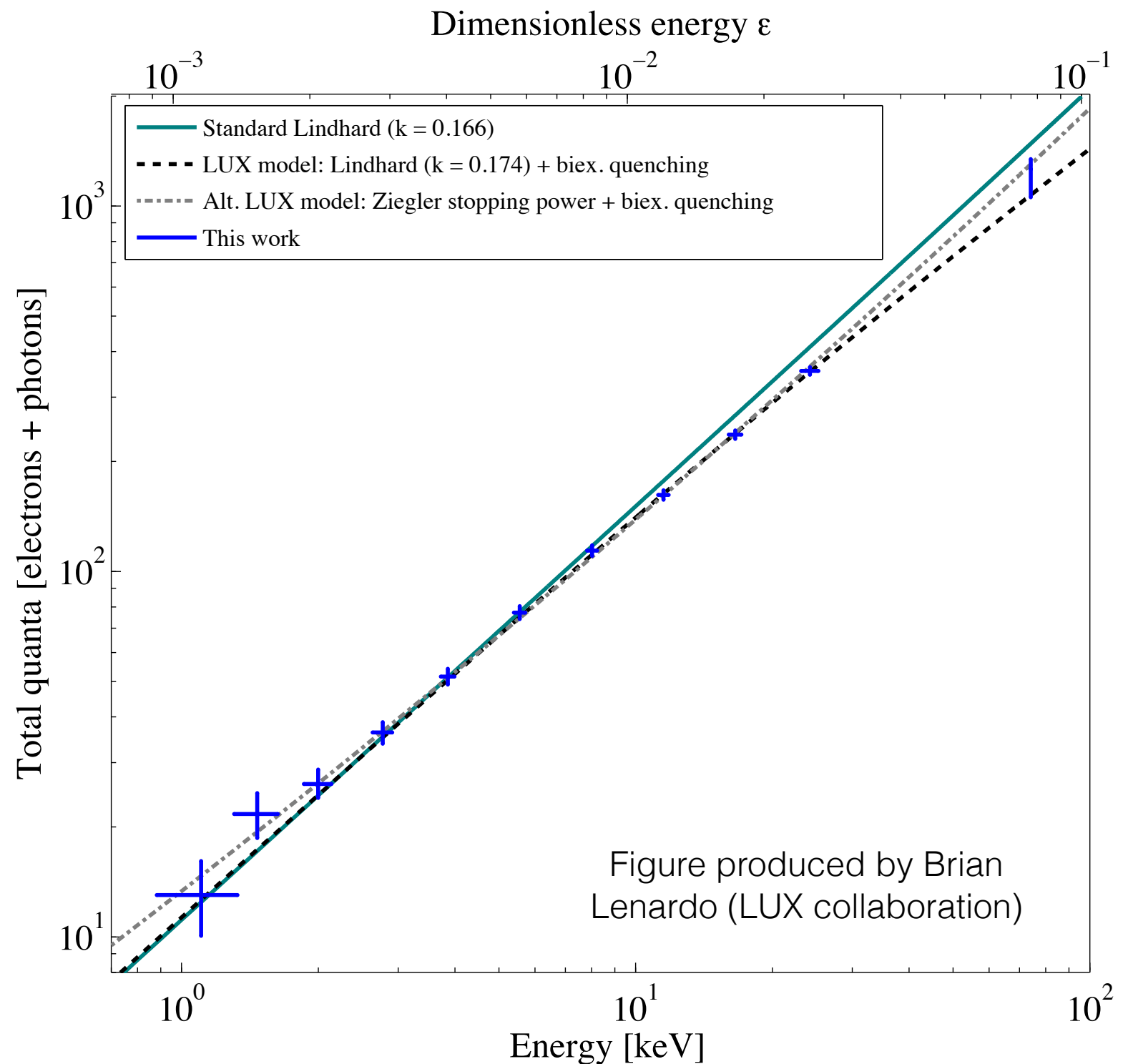
- NEST model (arXiv:1412.4417) fit simultaneously to LUX D-D Ly, Qy, and NR
- Extract quenching factor (fraction of energy given to detectable electronic excitation)

$$E_{\text{nr}} = \frac{W}{\mathcal{L}(E_{\text{nr}})} \left( \frac{S1}{g1} + \frac{S2}{g2} \right)$$

$$W = 13.7 \text{ eV}$$

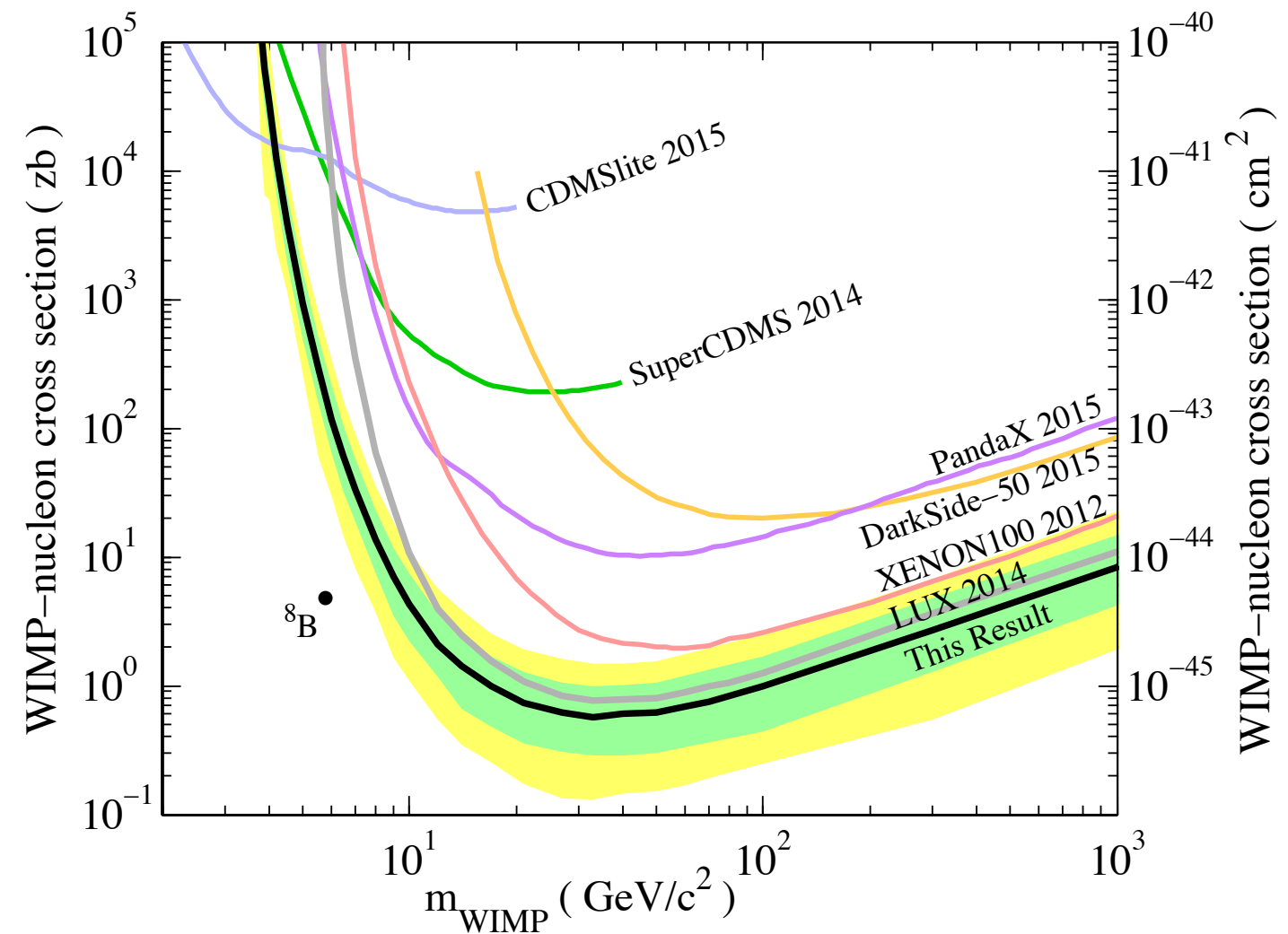
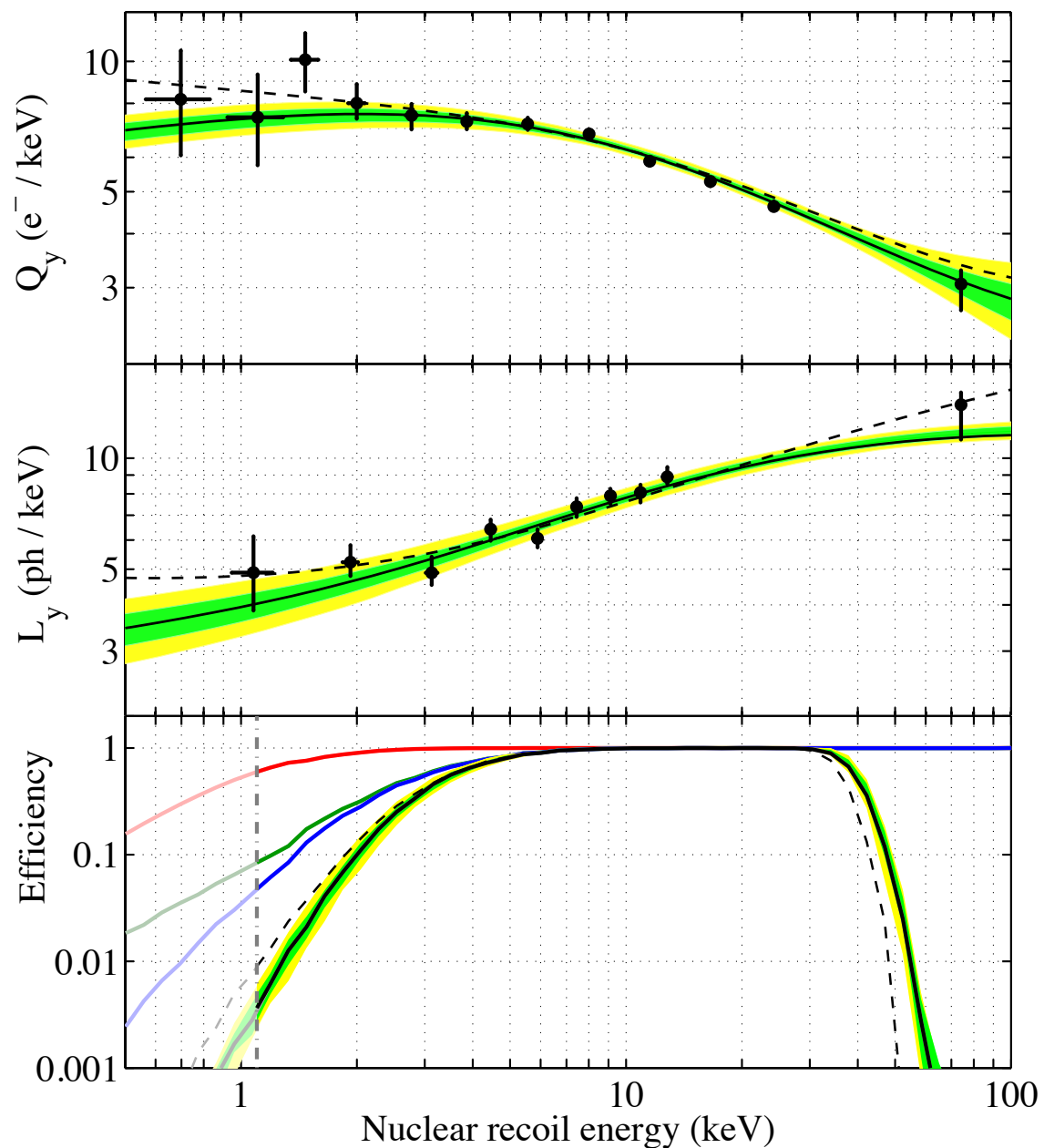
- Lindhard-based model is consistent with LUX data over two orders of magnitude (as low as 1.1 keV<sub>nr</sub>)

$$\epsilon = 11.5 \left( \frac{E_{\text{nr}}}{\text{keV}_{\text{nr}}} \right) Z^{-7/3}$$



# LUX Run03 WIMP search

- LUX measured nuclear recoil signal yields span entire WIMP search energy range



- WIMP search sensitivity improved x7 for 7  $\text{GeV}/c^2$  mass WIMP
- Lowest kinematically accessible WIMP mass reduced from 5.2 to 3.3  $\text{GeV}/c$
- Strong disagreement with low-mass WIMP interpretation of anomalous signals in other dark matter experiments

# Coherent elastic neutrino-nucleus scattering (CENNS)

- LUX measurement of nuclear recoil signal response down to  $1.1 \text{ keV}_{\text{nr}}$  allows precise calculation of expectation for observed CENNS rate in liquid xenon
- LUX Run03 reanalysis (arXiv:1512.03506)
  - Expect **0.10 to 0.16 observed events**
- Next generation LZ detector (calculations by A. Dobi)
  - For baseline LZ detector performance, expect **7 CENNS  $^8\text{B}$  observed events**
  - For optimistic LZ detector performance, ( $g=12\%$ , 2 PMT coincidence) expect **55 CENNS  $^8\text{B}$  observed events**

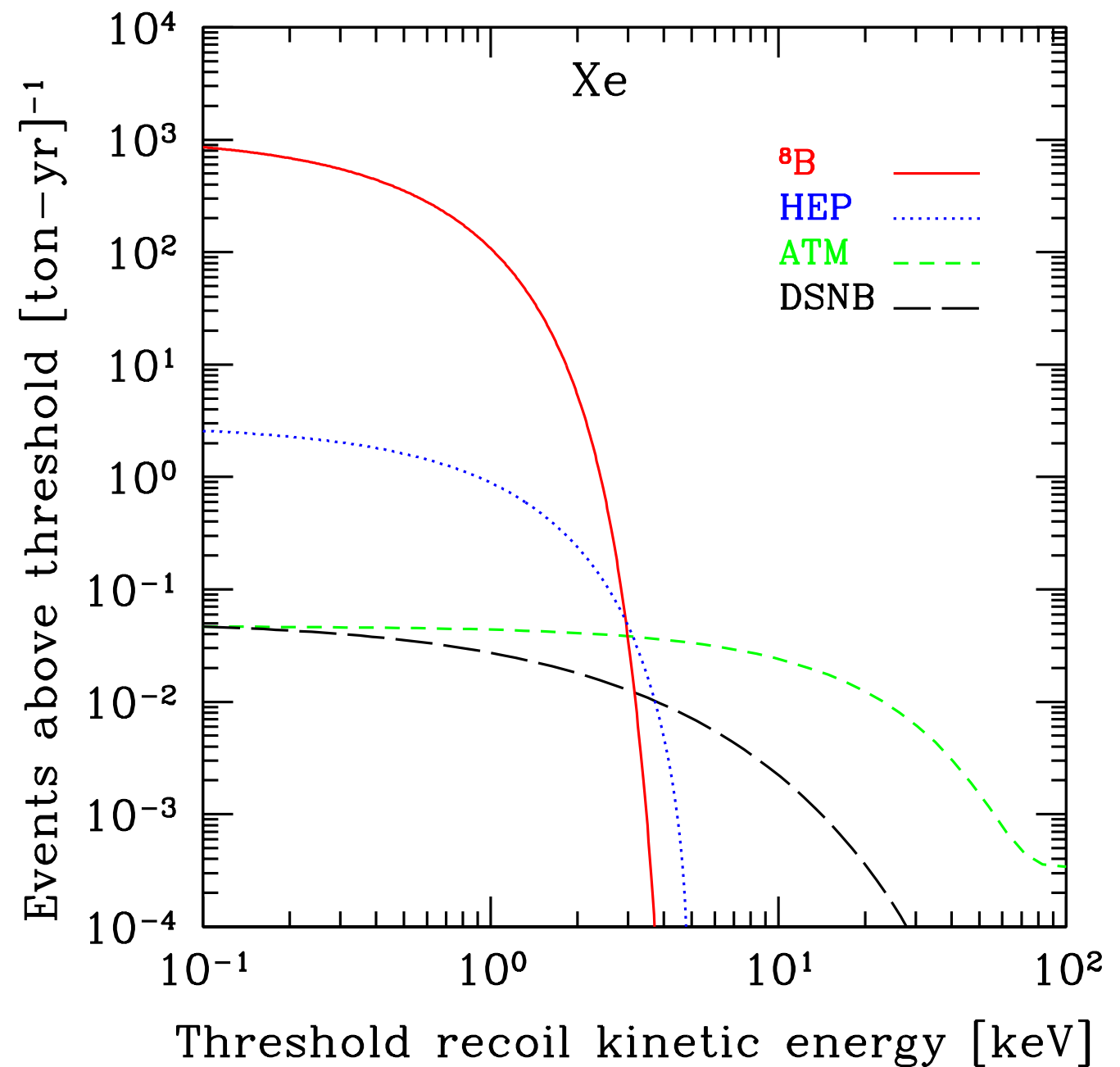
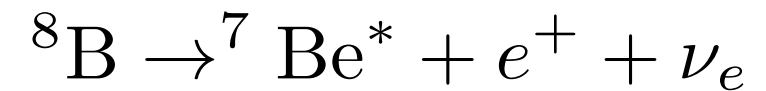


Figure from Strigary 2009 (arXiv:0903.3630)



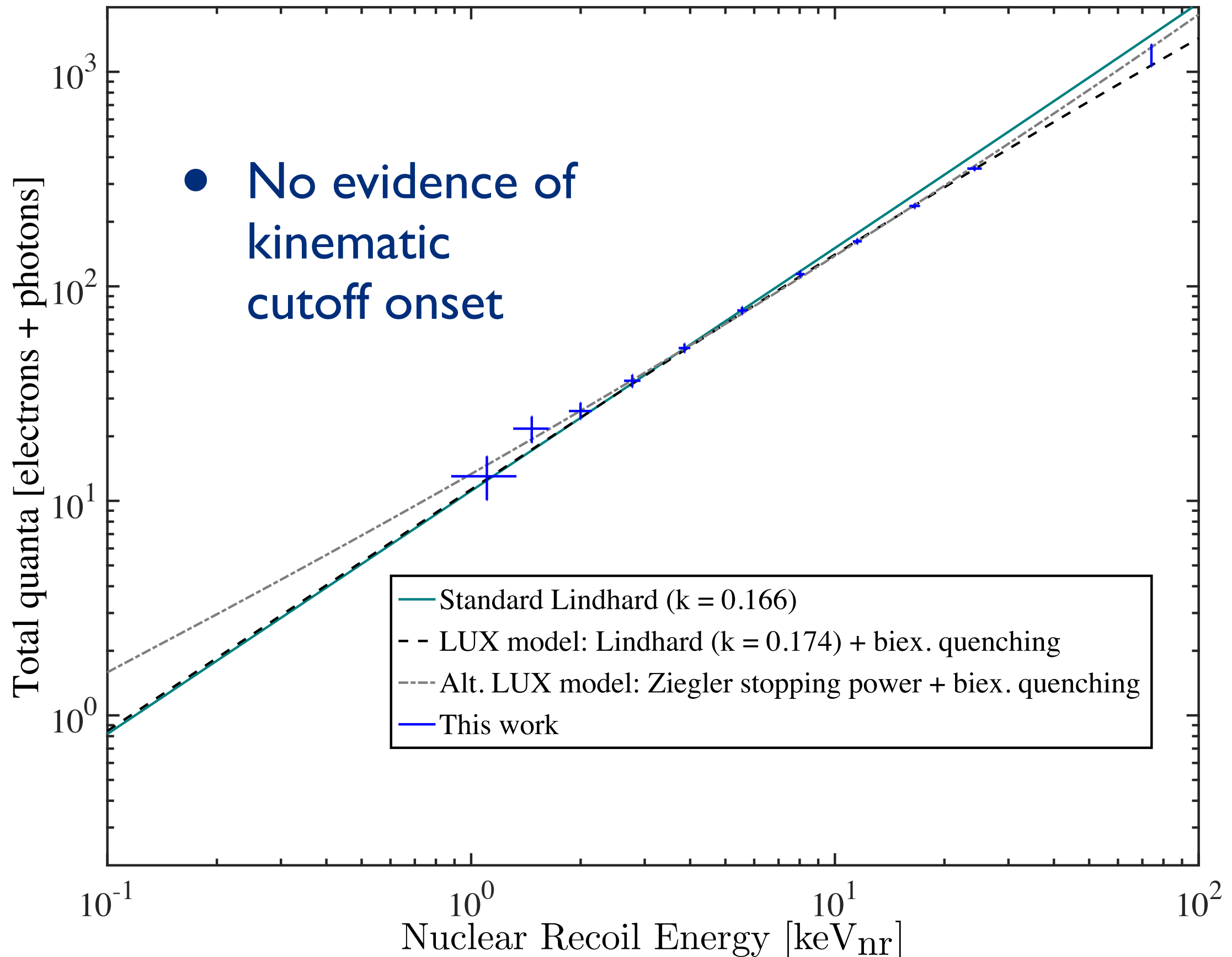
# How low (in energy) can this technique go?

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The main limitations on the extension of the low-energy reach of this type of nuclear recoil calibration fall into three categories:

1. Calibration strategy limitations
2. Detector performance limitations
3. Fundamental physics limitations

# Fundamental physics limitations



# Detector performance limitations

- Expectation values for number of signal carriers (produced and observed)

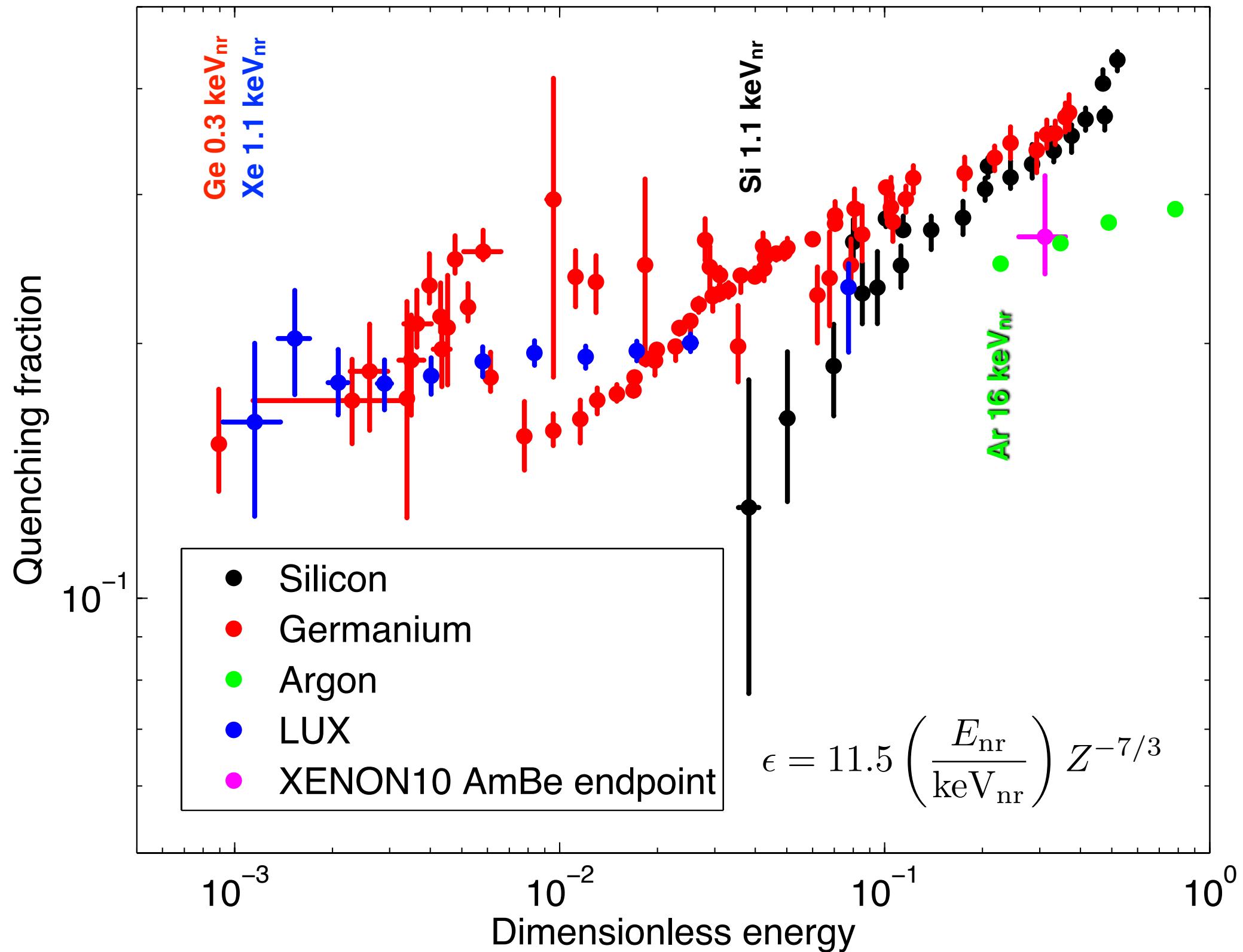
Recoil Energy [keV <sub>nr</sub> ]	$n_p$ [photons]	$S1$ [phd]	$n_e$ [electrons]	extracted electrons
0.1	0.29	0.03	0.56	0.23
0.4	1.4	0.16	2.7	1.1
0.7	LUX $Q_y$	0.30	5.0	2.0
1.0		0.46	7.3	3.0
1.1	LUX $L_y$	0.52	8.1	3.3
10	78.1	9.0	62.5	25.5
100	1150	132	283	116

- For 100 eV nuclear recoils (assuming electron detection efficiency of  $\sim 100\%$ )
  - 43% of nuclear recoils will have  $\geq 1$  detected electron
  - 11% of events will have  $\geq 2$  detected electrons



# Quenching fraction vs. dimensionless energy

Figure produced by Brian Lenardo (LUX collaboration)



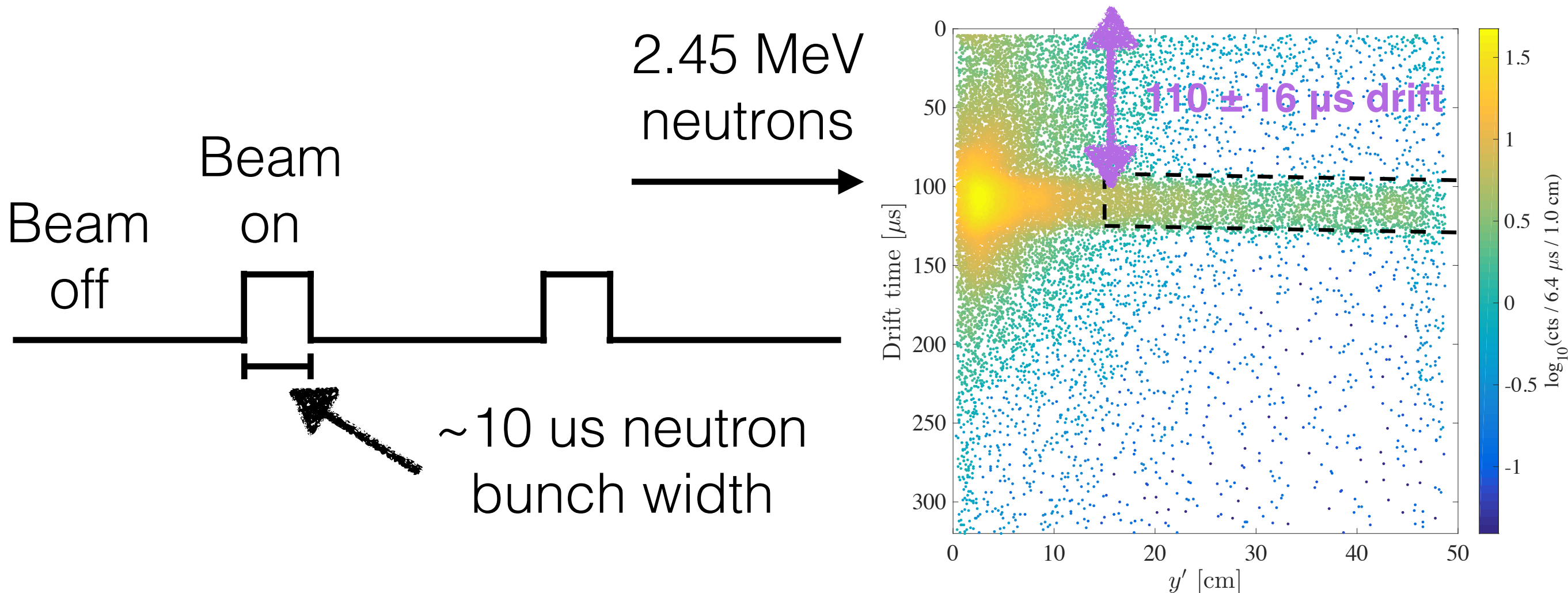
# Improvements to the Calibration Technique

We're pursuing several strategies to extend the *in situ* D-D NR calibration even lower in energy with smaller uncertainties for the general calibration of TPCs.

1. Reduction of D-D neutron bunch width time structure
2. Creation of a mono-energetic 272 keV neutron source
3. Direct, absolute measurement of  $L_y$  using neutron scattering kinematics



# Reduction of D-D neutron bunch width time structure

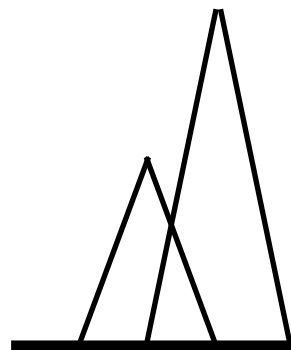


- DD beam-on time functions as a proxy for the  $t_0$  even in the absence of an SI
  - Removes calibration dependence on SI production/detection
- For reference, without an SI we can fiducialize in Z (given 1.5 mm/us) with a precision:
  - 100 us (current generator spec) neutron pulse => 15 cm Z fiducialization precision
  - 10 us neutron pulse => 1.5 cm Z fiducialization precision
  - 1 us neutron pulse => 0.15 cm Z fiducialization precision

S1

S2

Use measured drift time  
to ensure in line with  
neutron conduit



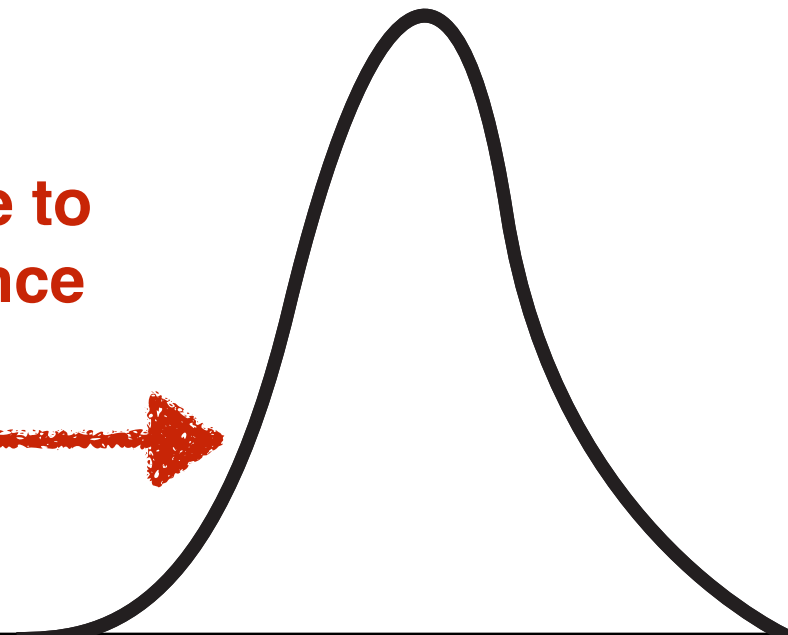
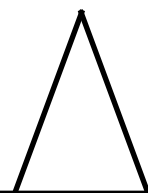
Observed S1 (2 PMT coincidence)

Observed S2

S1

S2

Use known drift time to  
identify S2 acceptance  
window



Count individual photons in S1  
time window defined by known  
“beam on” time



Identify D-D neutron S2 using known  
“beam on” time combined with known  
drift time

- Can identify small S2 events from D-D scatters and look at the statistics of the associated S1 signal. For given S2 size, can measure  $0^*$ , 1, 2, ... photon events
- In addition to advanced no-S1 studies, narrow trigger pulse allows for powerful reduction and understanding of calibration backgrounds



S1

S2

Use known drift time to  
identify S2 acceptance  
window

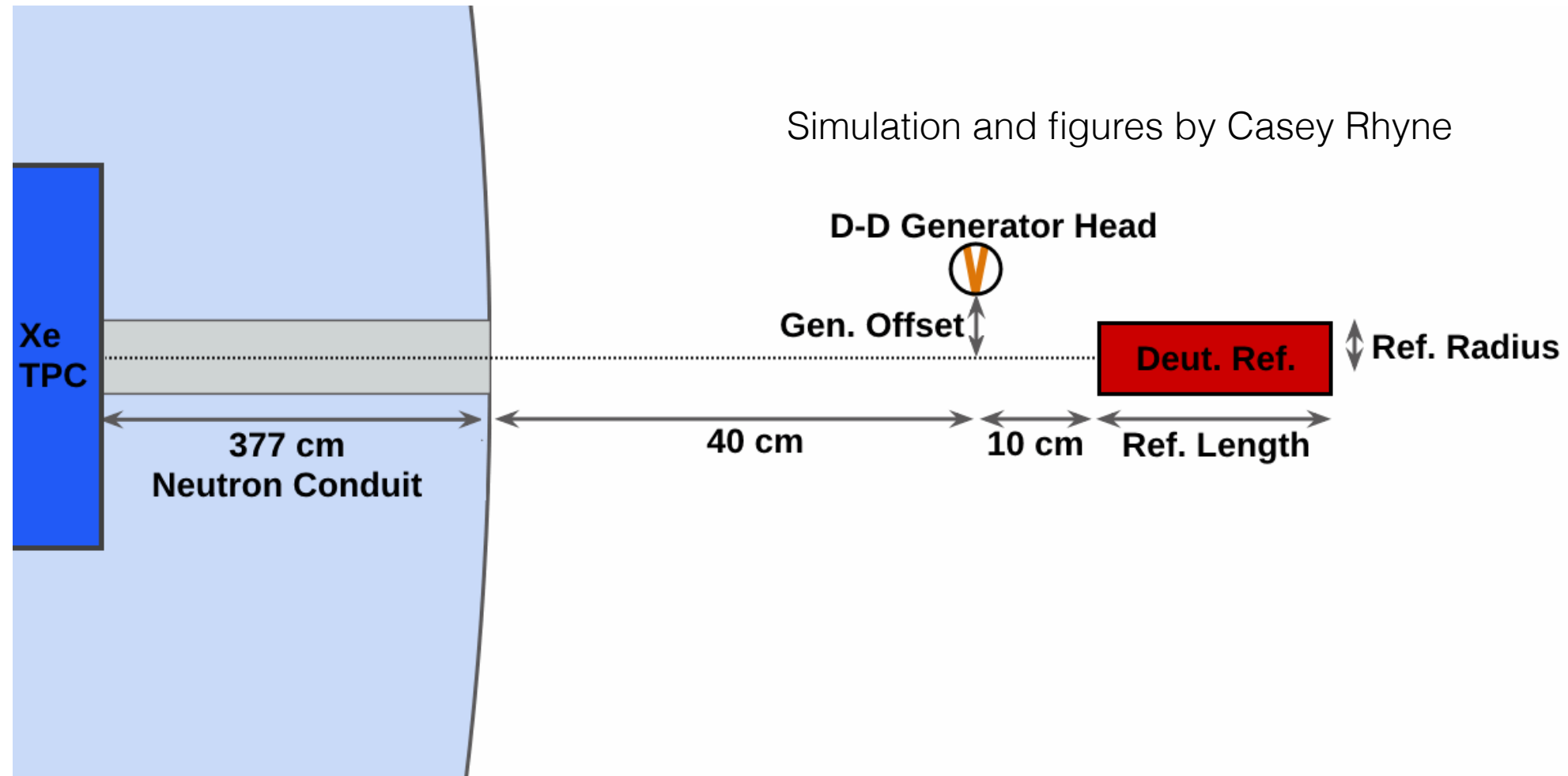


Count individual photons in S1  
time window defined by known  
“beam on” time

Identify D-D neutron S2 using known  
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# Creation of a collimated, mono-energetic 272 keV neutron source

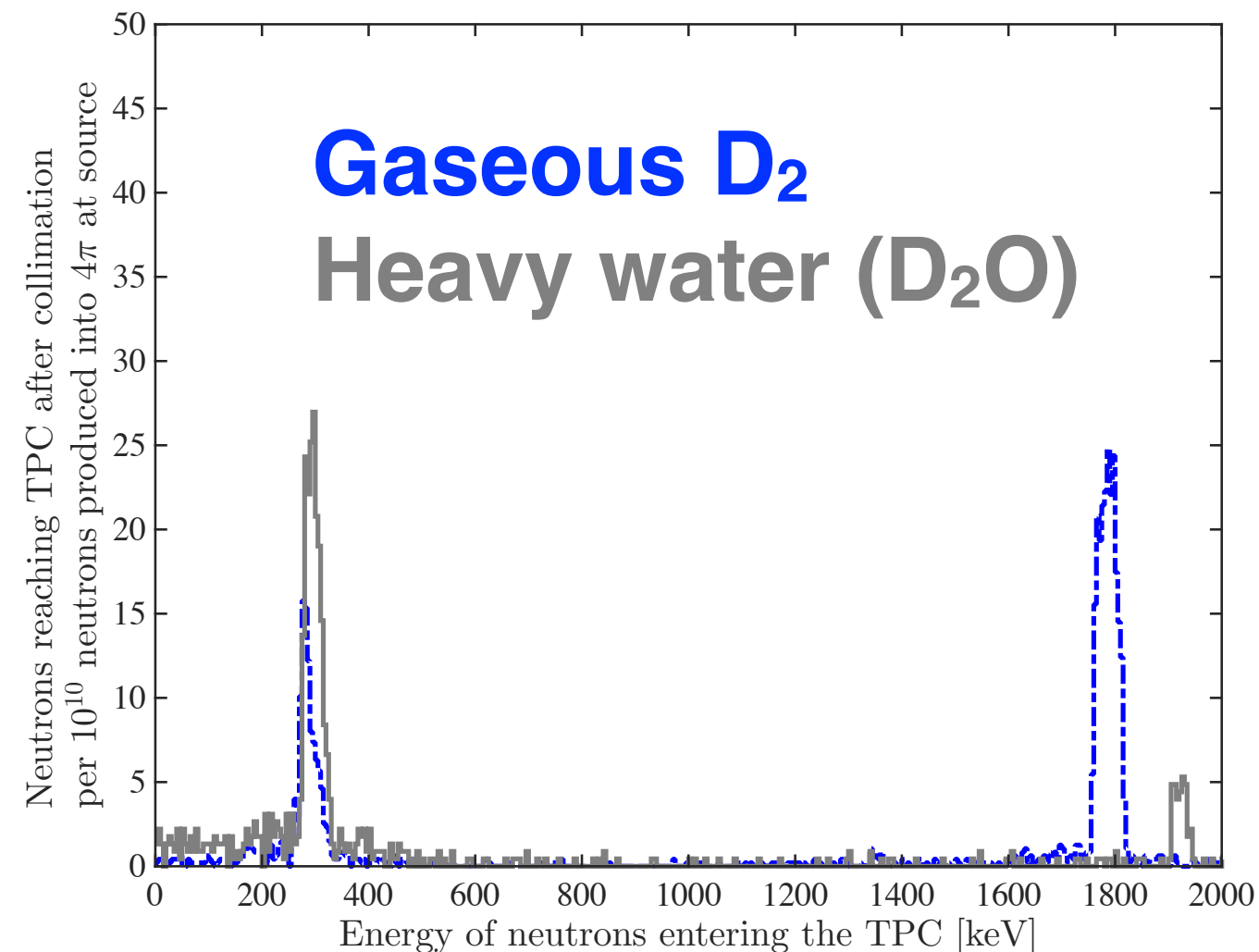
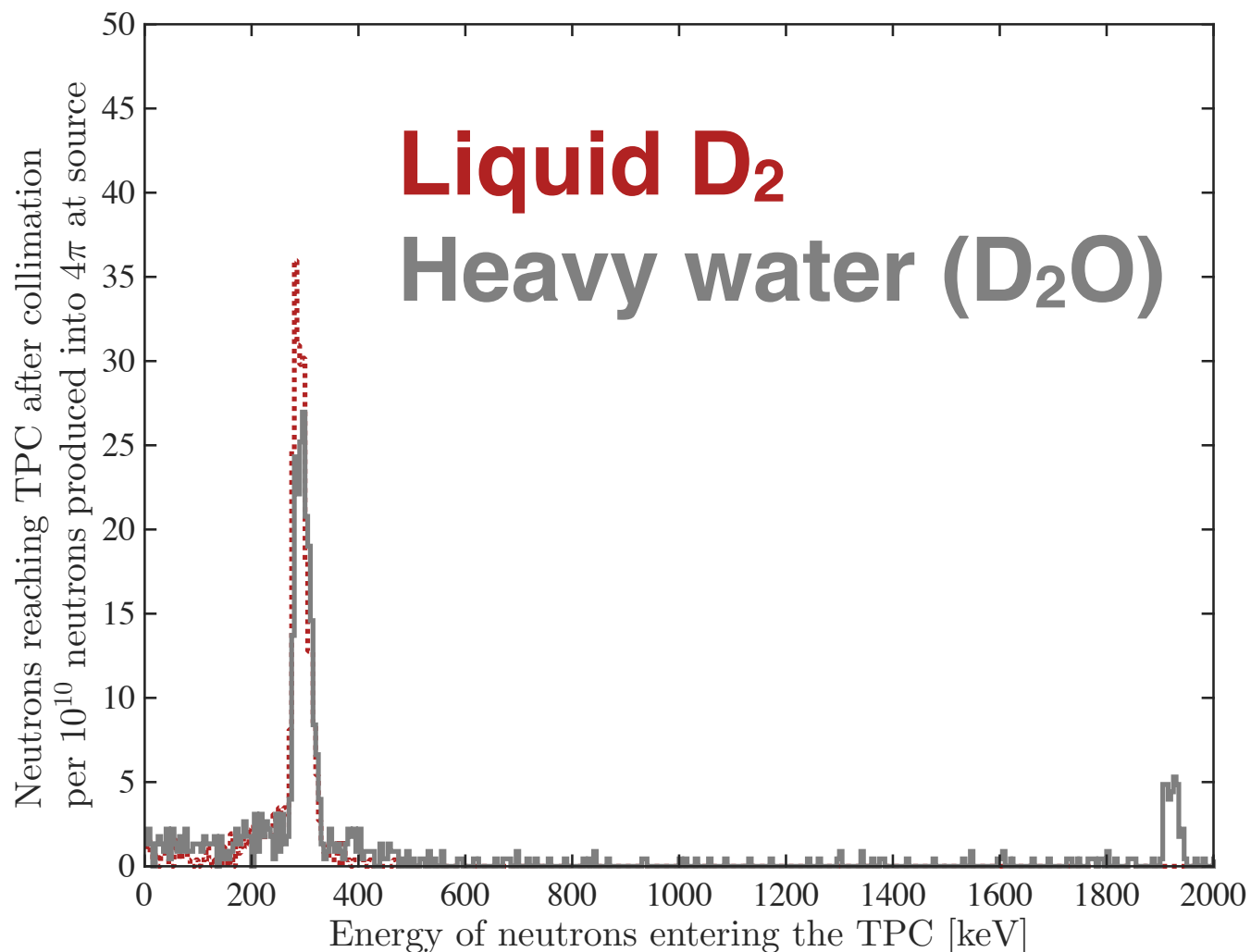


- D-loaded reflector positioned in line with neutron conduit
- Small solid angle presented by 5 cm diameter neutron conduit ensures only neutrons that backscatter at near  $180^\circ$  (272 keV) are incident upon the large LXe TPC

# Creation of a collimated, mono-energetic 272 keV neutron source

Simulation and figures by Casey Rhyne

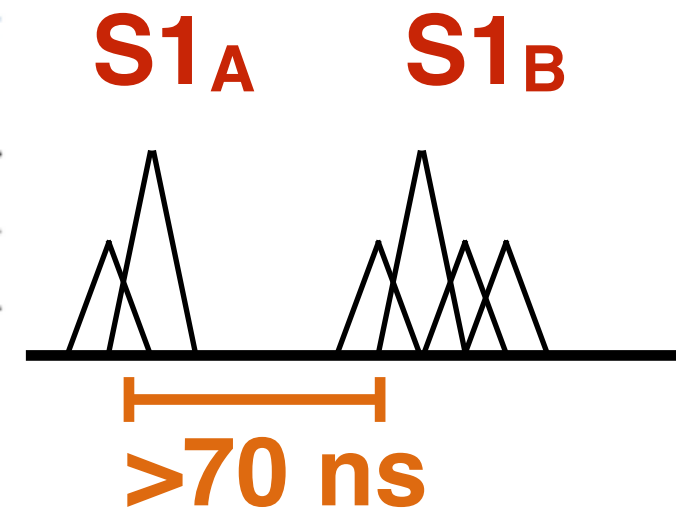
- Heavy water provides performance similar to an ideal reflector (liquid  $D_2$ )
  - 60% energy purity, but x2.3 increase in neutron flux
- Reflected intensity is x1/450 direct D-D source intensity
- 97% of events in the energy region of interest are direct from the D-reflector (not  $H_2O$ )



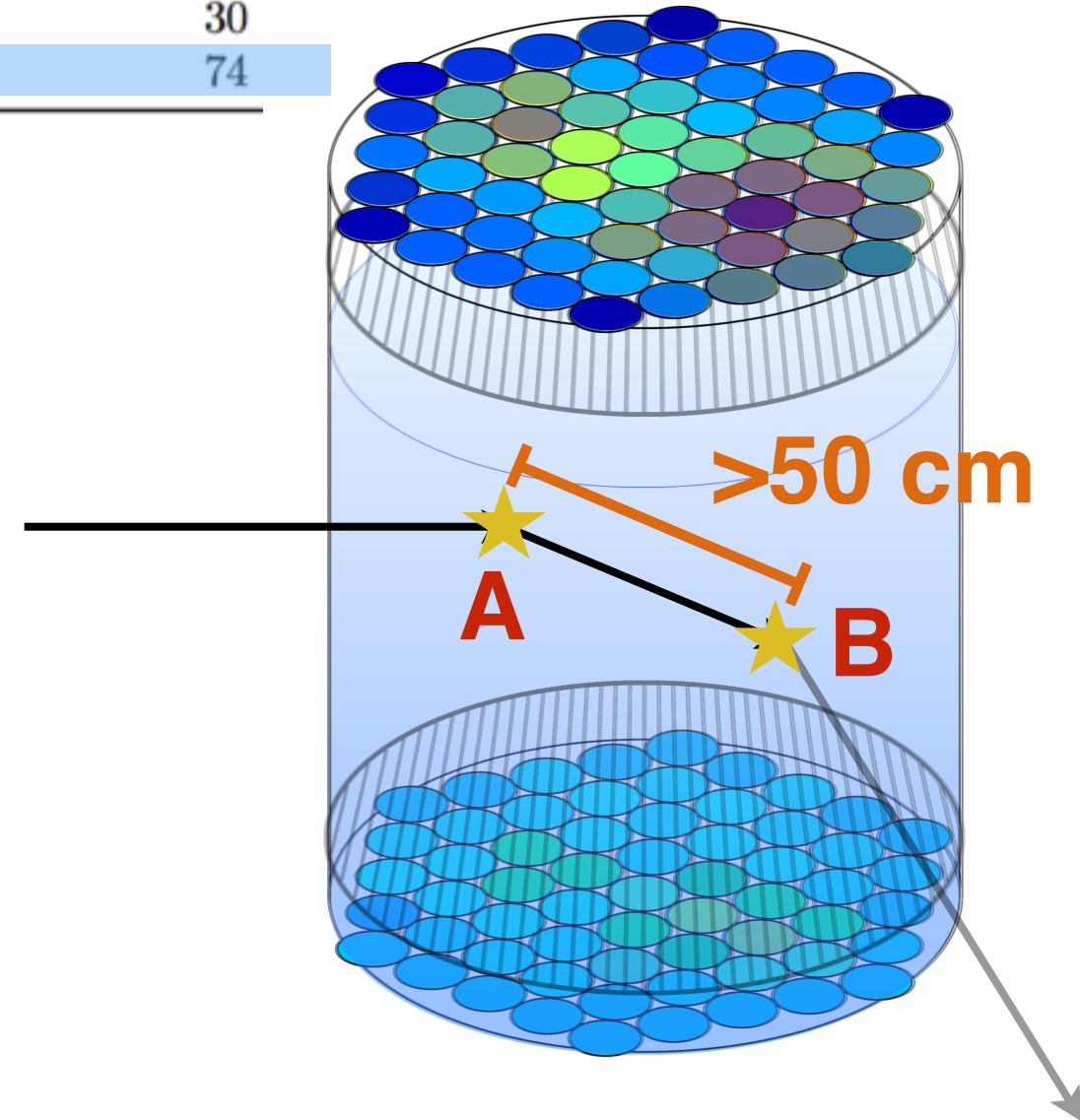
# Direct, absolute measurement of $L_\gamma$ using neutron scattering kinematics

Table 1.2: The time-of-flight (ToF) dependence upon neutron energy. The corresponding nuclear recoil spectrum endpoint energy in argon and xenon is given in columns three and four, respectively.

$E_n$ [keV]	ToF [ns/m]	Maximum Recoil [keV <sub>nr</sub> ]	
		Ar	Xe
1	2286	0.1	0.03
10	723	1	0.3
100	229	10	3
272	139	26	8
1000	72	96	30
2450	46	235	74



- Double scatter events with 50 cm vertex separation  $\Rightarrow$  70 ns ToF for 272 keV neutrons between vertices
- Typically 30 ns characteristic time constant for SI scintillation pulse shape
- Can distinguish photons in SI<sub>A</sub> from those in SI<sub>B</sub>
- As in current  $Q_\gamma$  measurement, use angle to reconstruct the deposited energy for vertex A

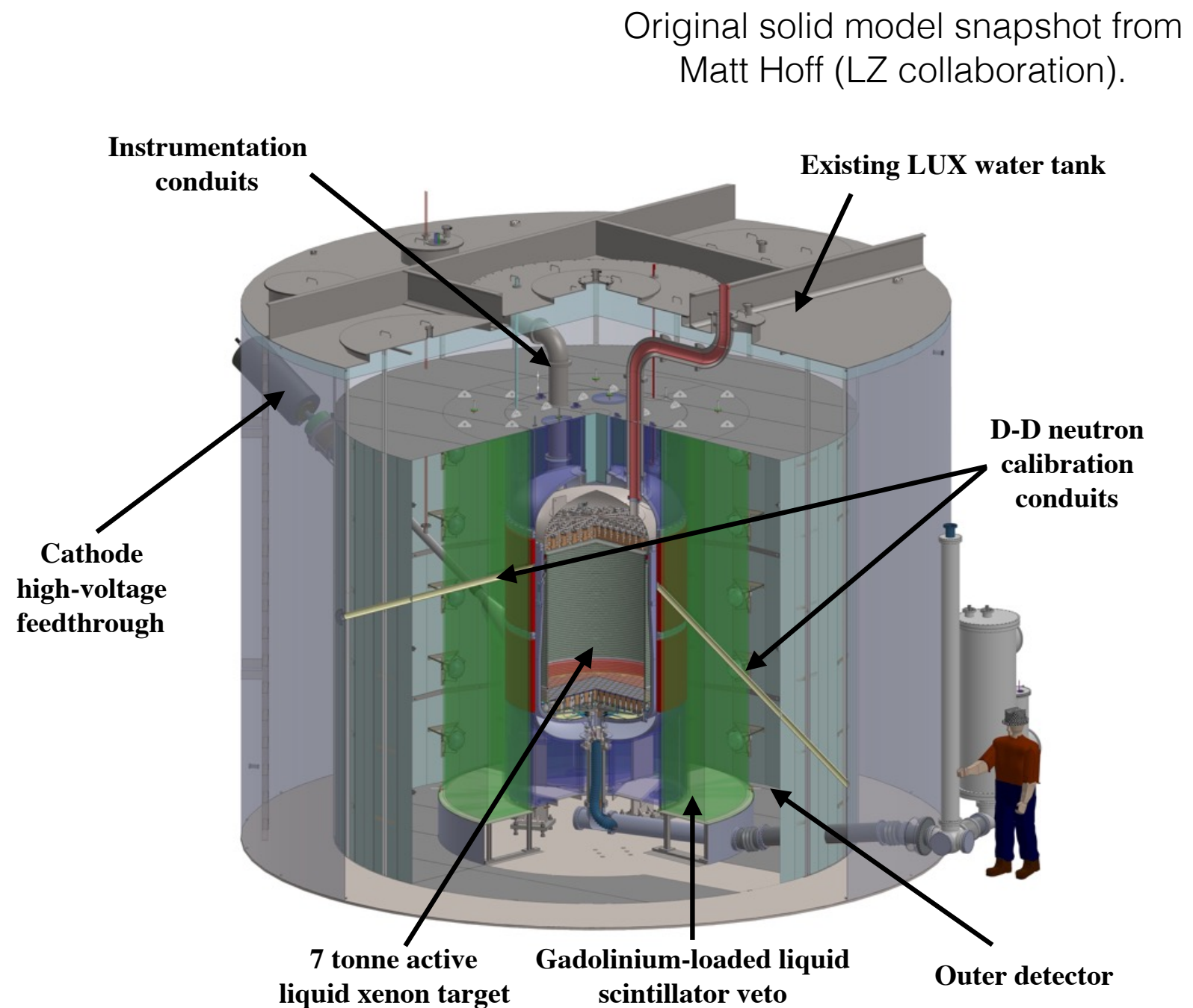




# The LZ Dark Matter Experiment

# The LZ dark matter experiment

- Due to the success of the LUX D-D program, D-D is a core component of the LZ calibration strategy
- Two neutron conduits
- Baseline plan to include
  - Short neutron bunch width operation
  - D-reflector neutron source



- 10 tonne liquid xenon detector (7 tonne active)

# Projected LZ sensitivity (1000 live days)

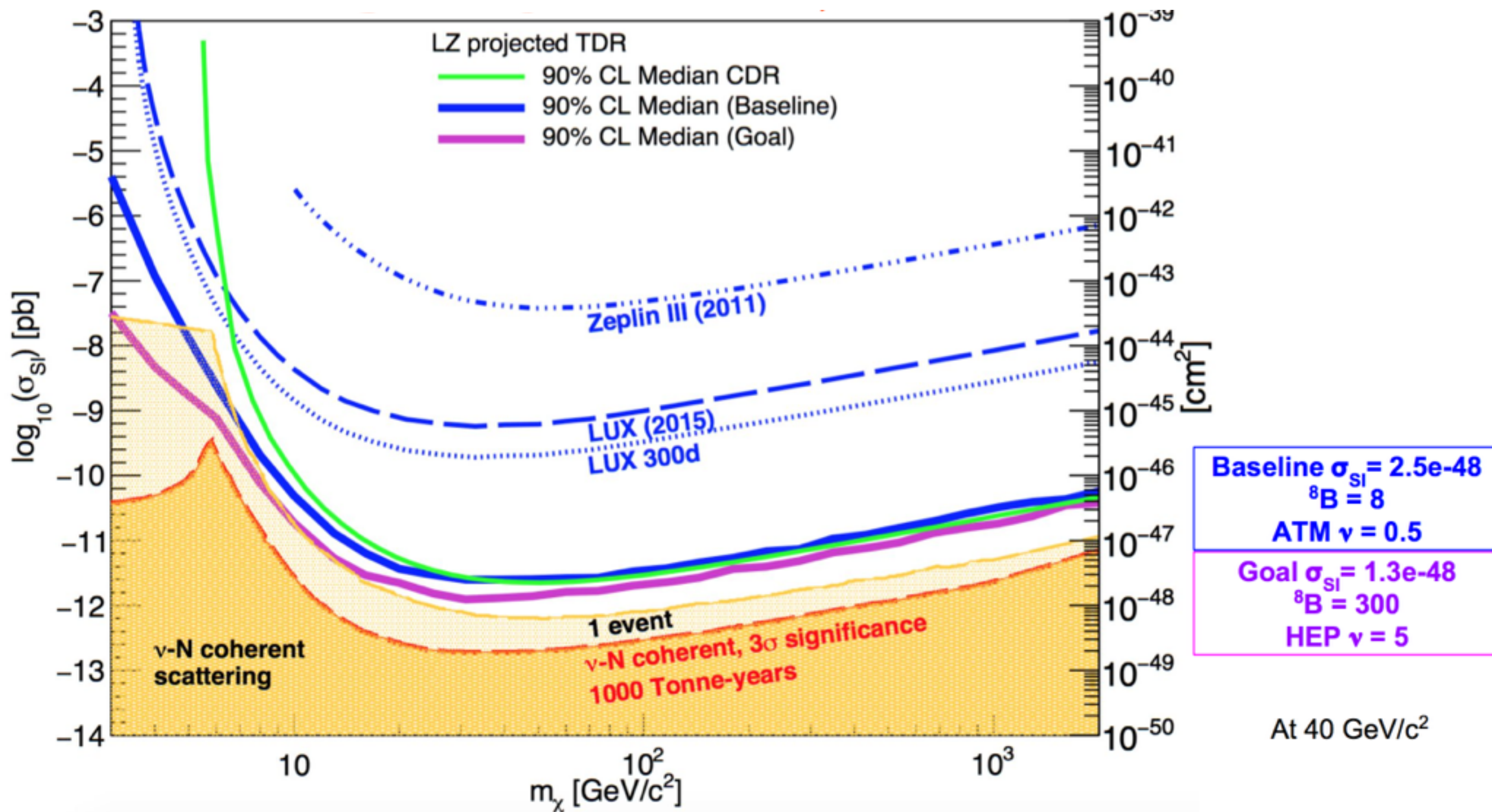


Figure from Attila Dobi (LZ Collaboration)

# Conclusions

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- New nuclear recoil calibration technique demonstrated in the LUX detector
  - Measured ionization and scintillation signal yields from **nuclear recoils as low as  $0.7 \text{ keV}_{\text{nr}}$  and  $1.1 \text{ keV}_{\text{nr}}$** , respectively
  - Measured **ionization (scintillation) a factor of x5 (x3) lower in energy** than previous experiments with reduction in calibration uncertainties
  - Measurement is **consistent with Lindhard theory** over two orders of magnitude in energy, and in **tension with a kinematic cutoff onset above  $1 \text{ keV}_{\text{nr}}$**
- The measured yields significantly improve sensitivity of liquid xenon TPCs to low mass WIMPs
  - Already world-leading LUX Run03 limit **improved by x7 at  $7 \text{ GeV c}^{-2}$**
  - **Strong disagreement** with low-mass WIMP interpretation of anomalous signals in several other dark matter experiments
- Provides **foundation for precise calculations** of the expected CENNS signal from  ${}^8\text{B}$  in large liquid xenon TPCs
- This calibration technique is now a **core component** of the next generation LZ dark matter detector calibration program